

City of Rock Falls

603 W. 10th Street
Rock Falls, IL 61071-2854

Mayor

Rod Kleckler
815-380-5333

City Administrator

Robbin Blackert
815-564-1366



City Clerk

815-622-1100
Ext. 4

City Treasurer

Kay Abner
815-622-1100

Rock Falls City Council Agenda
Council Chambers
603 W 10th Street, Rock Falls, IL 61071

October 5, 2021

5:30 p.m.

Call to Order at 5:30 p.m.

Pledge of Allegiance

Roll Call

Proclamations:

1. Proclaiming that the month of October 2021 be recognized as "Breast Cancer Awareness Month" ☞

Community Affairs

Bethany Bland, President/CEO, Rock Falls Chamber of Commerce

Consent Agenda:

1. Approval of the minutes of the September 21, 2021, City Council Meeting ☞
2. Approval of bills as presented ☞

Ordinances for Adoption:

1. Ordinance 2021-2537 - Approving Contract and Authorizing the Purchase and Financing of Personal Property (2 - 2021 Chevrolet Tahoe Special Service Package Vehicles) ☞

City Administrator Robbin Blackert

1. Accept recommendation from Willett, Hofmann & Associates and award the Former Limestone Building Property Earthwork and Grading Project to Porter Brothers, 9904 Freeport Road, Rock Falls, IL 61071, for their bid of \$104,483.80 ☞

Information/Correspondence

James Reese, City Attorney

Corey Buck, City Engineer

Alderman Reports/Committee Chairman Requests

Ward 1

Alderman Bill Wangelin

Alderman Gabriella McKanna – Finance/Insurance/Investment Committee Chairman

1. Approve Revisions to the Purchasing Policy Manual ☞☞

Ward 2

Alderman Brian Snow – Building Code Committee Chairman/Utility Committee Vice Chairman

1. Approve 3 year Esri Small Utility Enterprise Agreement at \$100,000.00 per year with Esri, 380 New York Street, Redlands, CA 92373 ☞☞
2. Approve proposal for warranty and inspections in the amount of \$137,480.00 with Altorfer, P.O. Box 3007, Davenport, IA 52802 ☞☞

Alderman Casey Babel

Ward 3

Alderman Steve Dowd

Alderman Jessica Devers

Ward 4

Alderman Violet Sobottka – Ordinance/License/Personnel/Safety Committee Chairman

Alderman Marshall Doane

Mayor's Report:

1. The City of Rock Falls thanks Attorney James Reese for his many years of service as the designated attorney for the City.
2. Consideration and approval of request to renew the appointment of Ward, Murray, Pace & Johnson, P.C. as the attorneys for the City of Rock Falls. Primary point of contact will continue to be Matt Cole and Tim Zollinger.
3. Approve Re-Appointment of City Administrator Robbin Blackert to the Whiteside County Enterprise Zone Board for a 3 year term (October 13, 2021 through October 12, 2024)

Adjournment

Next City Council meeting – October 19, 2021, at 5:30 p.m.

Posted: October 1, 2021

Michelle Conklin, Deputy City Clerk

The City of Rock Falls is subject to the requirements of the Americans with Disabilities Act of 1990. Individuals with Disabilities who plan to attend this meeting and who require certain accommodations in order to allow them to observe and/or participate in the meeting, or who have questions regarding the accessibility of the meeting or the facilities, are requested to contact Mark Searing, ADA Coordinator, at 1-815-622-1108 promptly to allow the City of Rock Falls to make reasonable accommodations within 48 hours of the scheduled meeting.

PROCLAMATION

RECOGNITION OF BREAST CANCER AWARENESS MONTH OCTOBER 2021

WHEREAS, breast cancer is the most frequently diagnosed cancer in women and the second most cause of cancer deaths in women; and

WHEREAS, about 1 in 8 U.S women (about 13%) will develop invasive breast cancer over the course of her lifetime; and

WHEREAS, the American Cancer Society estimates there will be over 281,550 new cases of invasive breast cancer in women in the USA in 2021, along with 49,290 new cases of non-invasive breast cancer, and about 43,600 will die from breast cancer; and

WHEREAS, the five-year survival rate approaches over 95% when breast cancer is diagnosed and treated early. The American Cancer Society recommends that women should have an opportunity to make an informed decision about whether to undergo a mammogram and additional testing for breast cancer based on their informed knowledge, person values and preferences; and

WHEREAS, the City of Rock Falls joins communities across our nation to increase awareness about the importance for women to make an informed decision with their health care provider about early detection and testing for breast cancer; and

WHEREAS, one of the local organizations honoring Breast Cancer Awareness Month is the Pink Heals Sauk Valley Chapter. This non-profit organization's mission is "To Educate, Empower and Support those affected by breast cancer"; and

WHEREAS, in October we honor the incredible fortitude of breast cancer survivors and offer our heartfelt support and prayers to those currently battling this disease. We remember the precious lives lost to breast cancer and the families forever changed as a result.

NOW, THEREFORE, BE IT PROCLAIMED, I Rod Kleckler, Mayor and the City Council of the City of Rock Falls, Whiteside County, Illinois do hereby proclaim the month of October 2021 as Breast Cancer Awareness Month in the City of Rock Falls

Approved this 5th day of October, 2021.

Rod Kleckler, Mayor

ATTEST:

Michelle Conklin, Deputy City Clerk

REGULAR MEETING MINUTES OF THE MAYOR AND ALDERMEN
OF THE CITY OF ROCK FALLS

September 21, 2021

The regular meeting of the Mayor and City Council of Rock Falls, Illinois was called to order at 5:30 p.m. on September 21, 2021, in the Council Chambers by Mayor Rod Kleckler.

Deputy City Clerk Michelle Conklin called the roll following the pledge of allegiance. A quorum was present including Mayor Kleckler, Aldermen McKanna, Wangelin, Snow, Babel, Dowd, Devers and Doane, Alderman Sobottka being absent. In addition, Attorney Matt Cole and City Administrator Robbin Blackert were present.

Rock Falls High School Student Council requested permission to hold their Annual Homecoming Parade. They have been in contact with the Police Department and the route will be the same as it was in 2019.

A motion was made by Alderman Snow and second by Alderman Doane to approve the Rock Falls Homecoming Parade.

Vote 7 aye, motion carried.

A motion was made by Alderman Snow and second by Alderman Dowd to approve a Proclamation, proclaiming that the month of October 2021 be recognized as "Domestic Violence Awareness Month".

Vote 7 aye, motion carried.

Consent Agenda items 1 and 2 were read aloud by Deputy City Clerk Michelle Conklin.

1. Approval of the minutes of the September 7, 2021, City Council Meeting
2. Approval of bills as presented

A motion was made by Alderman Wangelin and second by Alderman McKanna to approve Consent Agenda items 1 and 2.

Vote 7 aye, motion carried.

A motion was made by Alderman Snow and second by Alderman McKanna to approve Ordinance 2021-2535 - Amending Chapter 2, Article VII, Division 1 Regarding the Creation of a Business Office and Tourism Office.

Vote 7 aye, motion carried.

A motion was made by Alderman Snow and second by Alderman Babel to approve Ordinance 2021-2536 - Amending Chapter 4, Article 1 Relating to Limitation on Number of Animals per Household.

Vote 7 aye, motion carried.

A motion was made by Alderman Wangelin and second by Alderman Dowd to approve Resolution 2021-871 - Resolution Authorizing a Loan Applicant's Authorized Representative to Sign PWSLP Loan Application Documents, with a correction of adding Mayor Keckler's name to paragraph two.

Vote 7 aye, motion carried.

City Administrator Robbin Blackert informed the City Council that there was a new grant through DCEO, Rebuild Downtown's and Main Streets. This grant would allow the demolition of the Micro building and will also pay for the costs to acquire the property and would pay to build the parking lot. We will be able to use the structural and environmental information that we have already paid to have completed.

We are also working on a grant for Tourism which is a 50/50 match grant for Festivals. Tourism Director Melinda Jones is looking at doing a Jazz Festival that the RB&W Park.

Alderman Dowd stated that we have to do something about allowing alcohol in the RB&W Park, if we are having events & festivals there should be some way to allow alcohol. This will be placed on an Ordinance Committee meeting for further discussion.

Mayor Kleckler informed the City Council that there will not need to be any adjustments to the Ward boundaries, the preliminary census block data shows that we are within the statistical allowances.

Mayor Kleckler would like any Aldermen who would like to volunteer to be on the committee for the American Recovery Plan Funds please let him know.

The Rock Falls High School Homecoming Parade will be on October 7, 2021. Any Alderman who would like to ride in the parade should contact Mayor Kleckler.

A motion was made by Alderman Doane and second by Alderman Dowd to adjourn.
Viva Voce Vote, motion carried. (5:46 p.m.)


Michelle K. Conklin, Deputy City Clerk

CITY OF ROCK FALLS

Rock Falls, Illinois 10/05/2021

To the Mayor and City Council of the City of Rock Falls, Your Committee on Finance would respectfully report that they have examined the following bills presented against the City, and have found the same correct and would recommend the payment of the various amounts to the several claimants as follows:

Tourism	28095.07
General Fund	115428.19
Building Code Demolition Fund	649.83
TIF-Downtown Redevelopment	16684.00
Industrial Development Fund	39.00
Electric	175233.68
Fiber Optic Broadband/Taxable	1.13
Sewer	26563.59
Water	23036.87
Garbage Fund	207.50
Customer Service Center	6057.46
Motor Fuel Tax	2749.45
Customer Utility Deposit	87.97
	\$394,833.74

Alderman Wangelin
Alderman Palmer
Alderman Doane
Alderman Devers

INVOICES DUE ON/BEFORE 09/24/2021

VENDOR #	NAME	PAID THIS FISCAL YEAR	AMOUNT DUE

TOURISM			
05	TOURISM		
1095	TURNROTH SIGN CO, INC.	348.00	241.00
176	PETTY CASH	238.30	10,000.00
200	COM ED	782.51	21.29
5032	COMCAST	1,866.15	11.15
5314	LINK MEDIA OUTDOOR	8,060.00	1,612.00
688	PITNEY BOWES INC		0.70
771	PINNEY PRINTING CO	3,850.59	299.00
T0005337	STAHR MEDIA	25.00	50.00
T0005451	TRIPLE P BBQ/SHANE PORTER	1,150.00	25.00
T0005516	HOMETOWN TROPHIES		180.00
	TOURISM		12,440.14
GENERAL FUND			
01	ADMINISTRATION		
1472	WARD, MURRAY, PACE & JOHNSON	29,215.34	2,739.00
4331	CIRCUIT CLERK OF LEE COUNTY	2,920.00	100.00
5032	COMCAST	1,866.15	11.15
5164	LEXIPOL LLC	9,077.00	1,300.79
688	PITNEY BOWES INC		0.27
	ADMINISTRATION		4,151.21
02	CITY ADMINISTRATOR		
5032	COMCAST	1,866.15	5.57
688	PITNEY BOWES INC		0.43
	CITY ADMINISTRATOR		6.00
03	PLANNING/ZONING		
1472	WARD, MURRAY, PACE & JOHNSON	29,215.34	253.50
688	PITNEY BOWES INC		17.77
	PLANNING/ZONING		271.27
04	BUILDING		

INVOICES DUE ON/BEFORE 09/24/2021

VENDOR #	NAME	PAID THIS FISCAL YEAR	AMOUNT DUE

GENERAL FUND			
04	BUILDING		
5032	COMCAST	1,866.15	22.29
5311	SAMSARA NETWORKS INC	4,440.36	20.00
688	PITNEY BOWES INC		3.34
	BUILDING		45.63
05	CITY CLERK'S OFFICE		
5032	COMCAST	1,866.15	22.29
688	PITNEY BOWES INC		26.22
	CITY CLERK'S OFFICE		48.51
06	POLICE		
1472	WARD, MURRAY, PACE & JOHNSON	29,215.34	273.00
1853	MOORE TIRES INC.	4,480.15	25.19
4572	CHARLES SCHWAB & CO INC	401,393.43	67,736.36
4767	DOUG WOLBER	37.50	28.57
4796	VERIZON WIRELESS	6,832.30	279.08
4806	AXON ENTERPRISE INC	218.40	7,311.00
5032	COMCAST	1,866.15	172.57
5096	TREASURER, STATE OF ILLINOIS	50.00	5.00
5097	ILLINOIS STATE POLICE	300.00	30.00
5098	ILLINOIS OFFICE OF THE	300.00	30.00
5110	KUNES COUNTRY AUTO GROUP	5,283.40	744.33
655	NW IL CRIMINAL JUSTICE COMM		175.00
688	PITNEY BOWES INC		5.37
956	UNIFORM DEN INC	1,826.07	1,649.63
	POLICE		78,465.10
07	CODE HEARING DEPARTMENT		
4929	TIMOTHY J SLAVIN	2,250.00	1,070.00
688	PITNEY BOWES INC		7.43
	CODE HEARING DEPARTMENT		1,077.43
10	STREET		

INVOICES DUE ON/BEFORE 09/24/2021

VENDOR #	NAME	PAID THIS FISCAL YEAR	AMOUNT DUE
GENERAL FUND			
10	STREET		
110	BONNELL INDUSTRIES, INC.	1,762.36	3,361.42
194	GRUMMERT'S HARDWARE - R.F.	3,368.71	4.48
4207	O'REILLY AUTOMOTIVE INC	2,128.69	42.80
4655	WHEELHOUSE, INC.	252.25	30.00
4796	VERIZON WIRELESS	6,832.30	58.93
5032	COMCAST	1,866.15	11.15
5311	SAMSARA NETWORKS INC	4,440.36	200.00
55	ARAMARK UNIFORM SERVICES, INC.	4,017.81	70.79
688	PITNEY BOWES INC		0.03
	STREET		3,779.60
13	FIRE		
4571	CHARLES SCHWAB & CO INC	10,068.79	372.52
5032	COMCAST	1,866.15	54.01
688	PITNEY BOWES INC		1.50
	FIRE		428.03
BUILDING CODE DEMOLITION FUND			
12	BUILDING CODE DEMOLITION FUND		
1472	WARD, MURRAY, PACE & JOHNSON	29,215.34	620.00
	BUILDING CODE DEMOLITION FUND		620.00
INDUSTRIAL DEVELOPMENT FUND			
14	INDUSTRIAL DEVELOPMENT		
1472	WARD, MURRAY, PACE & JOHNSON	29,215.34	39.00
	INDUSTRIAL DEVELOPMENT		39.00
ELECTRIC FUND			
20	OPERATION & MAINTENANCE		
1289	CITY OF ROCK FALLS UTILITIES	211,715.52	419.36
1472	WARD, MURRAY, PACE & JOHNSON	29,215.34	1,219.92
194	GRUMMERT'S HARDWARE - R.F.	3,368.71	28.78

DATE: 09/23/21
TIME: 11:08:58
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CITY OF ROCK FALLS
DEPARTMENT SUMMARY REPORT

PAGE: 4

INVOICES DUE ON/BEFORE 09/24/2021

VENDOR #	NAME	PAID THIS FISCAL YEAR	AMOUNT DUE
ELECTRIC FUND			
20	OPERATION & MAINTENANCE		
219	CRESCENT ELECTRIC	3,866.74	324.73
2611	FISCH MOTORS INC	373.00	236.00
34	ALTORFER INC.	61,742.16	2,171.98
4145	YSI INC.		642.93
4207	O'REILLY AUTOMOTIVE INC	2,128.69	10.48
4215	POWER LINE SUPPLY	8,531.86	904.00
4730	FLETCHER-REINHARDT CO	3,288.80	154.92
4796	VERIZON WIRELESS	6,832.30	671.17
4938	MICHLIG ENERGY LTD	116,929.08	11,828.26
5032	COMCAST	1,866.15	55.69
5164	LEXIPOL LLC	9,077.00	1,300.79
5270	ALBAT PROGRAM		8,623.50
5311	SAMSARA NETWORKS INC	4,440.36	280.06
5323	JEREMIAH LUDEWIG	100.00	51.13
688	PITNEY BOWES INC		1.49
964	UUSCO	2,573.75	8,082.50
	OPERATION & MAINTENANCE		37,007.69
SEWER FUND			
38	OPERATION & MAINTENANCE		
1472	WARD, MURRAY, PACE & JOHNSON	29,215.34	6,318.00
200	COM ED	782.51	37.42
34	ALTORFER INC.	61,742.16	22.90
4119	USA BLUE BOOK	3,029.20	685.14
4796	VERIZON WIRELESS	6,832.30	162.87
5032	COMCAST	1,866.15	39.01
5131	METROPOLITAN INDUSTRIES, INC.	5,334.50	4,543.00
5164	LEXIPOL LLC	9,077.00	1,300.78
5283	RHINO INDUSTRIES INC	1,290.00	1,290.00
5311	SAMSARA NETWORKS INC	4,440.36	120.00
631	MURRAY & SONS EXCAVATING, INC	126,810.86	6,026.00
688	PITNEY BOWES INC		1.70
	OPERATION & MAINTENANCE		20,546.82
WATER FUND			
48	OPERATION & MAINTENANCE		
194	GRUMMERT'S HARDWARE - R.F.	3,368.71	10.78
2451	MENARDS	4,475.50	309.54

INVOICES DUE ON/BEFORE 09/24/2021

VENDOR #	NAME	PAID THIS FISCAL YEAR	AMOUNT DUE

WATER FUND			
48	OPERATION & MAINTENANCE		
4207	O'REILLY AUTOMOTIVE INC	2,128.69	74.87
4707	KIMBALL MIDWEST	1,389.70	33.49
4796	VERIZON WIRELESS	6,832.30	38.01
5032	COMCAST	1,866.15	27.86
5164	LEXIPOL LLC	9,077.00	1,300.79
5311	SAMSARA NETWORKS INC	4,440.36	120.00
55	ARAMARK UNIFORM SERVICES, INC.	4,017.81	68.25
631	MURRAY & SONS EXCAVATING, INC	126,810.86	1,029.00
688	PITNEY BOWES INC		0.70
	OPERATION & MAINTENANCE		3,013.29
CUSTOMER SERVICE CENTER			
51	CUSTOMER SERVICE CENTER		
1472	WARD, MURRAY, PACE & JOHNSON	29,215.34	448.50
5032	COMCAST	1,866.15	39.01
688	PITNEY BOWES INC		13.79
771	PINNEY PRINTING CO	3,850.59	1,119.93
	CUSTOMER SERVICE CENTER		1,621.23
CUSTOMER UTILITY DEPOSITS			
75	CUSTOMER UTILITY DEPOSITS		
T0005515	RYAN & MICHELLE POTTHOFF		87.97
	CUSTOMER UTILITY DEPOSITS		87.97
	TOTAL ALL DEPARTMENTS		163,648.92

DATE: 09/30/21
TIME: 10:29:29
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CITY OF ROCK FALLS
DEPARTMENT SUMMARY REPORT

PAGE: 1

INVOICES DUE ON/BEFORE 10/01/2021

VENDOR #	NAME	PAID THIS FISCAL YEAR	AMOUNT DUE
TOURISM			
05	TOURISM		
172	CITY OF ROCK FALLS	1,719.56	209.25
194	GRUMMERT'S HARDWARE - R.F.	3,412.75	8.45
3010	CUSTOM MONOGRAM	1,470.95	581.00
5161	HUGHES MEDIA CORP	2,250.00	750.00
5178	COMCAST BUSINESS	3,726.88	39.03
T0004908	MELINDA JONES	43.44	67.20
T0005321	SAMMY JO INC		5,000.00
T0005518	AARON RANKEN		250.00
T0005519	JON HINTON		250.00
T0005520	LUMBERJACK ENTERPRISES		6,000.00
T0005521	COLOMA TOWNSHIP PARK DISTRICT		2,500.00
	TOURISM		15,654.93
GENERAL FUND			
01	ADMINISTRATION		
4331	CIRCUIT CLERK OF LEE COUNTY	3,020.00	300.00
5168	MWM CONSULTING GROUP, INC		17,200.00
5178	COMCAST BUSINESS	3,726.88	39.03
753	ROCK FALLS CHAMBER OF COMMERCE	3,500.00	500.00
	ADMINISTRATION		18,039.03
02	CITY ADMINISTRATOR		
172	CITY OF ROCK FALLS	1,719.56	0.51
4972	ROBBIN BLACKERT	500.00	100.00
5178	COMCAST BUSINESS	3,726.88	39.03
	CITY ADMINISTRATOR		139.54
03	PLANNING/ZONING		
172	CITY OF ROCK FALLS	1,719.56	0.71
	PLANNING/ZONING		0.71
04	BUILDING		

INVOICES DUE ON/BEFORE 10/01/2021

VENDOR #	NAME	PAID THIS FISCAL YEAR	AMOUNT DUE
GENERAL FUND			
04	BUILDING		
172	CITY OF ROCK FALLS	1,719.56	50.70
2797	MARK SEARING	200.00	40.00
5178	COMCAST BUSINESS	3,726.88	78.16
795	SBM BUSINESS EQUIPMENT CENTER	7,192.68	94.27
	BUILDING		263.13
05	CITY CLERK'S OFFICE		
172	CITY OF ROCK FALLS	1,719.56	329.78
5178	COMCAST BUSINESS	3,726.88	78.12
	CITY CLERK'S OFFICE		407.90
06	POLICE		
1024	WILLIAMS AUTO BODY SHOP	130.00	75.00
1448	IL DEPT OF INNOVATION &	1,593.72	398.43
172	CITY OF ROCK FALLS	1,719.56	126.59
194	GRUMMERT'S HARDWARE - R.F.	3,412.75	47.68
2380	AUTOZONE	95.15	41.66
4651	MOST PLUMBING & MECHANICAL LLC	1,449.50	455.00
5032	COMCAST	2,337.90	6.33
5178	COMCAST BUSINESS	3,726.88	156.14
5247	ZACHARY LYERLA		30.26
T0002918	KUNES SAUK VALLEY TOWING	125.00	150.00
T0005084	SOUTHPARK PSYCHOLOGY LTD	350.00	350.00
T0005517	WHITESIDE COUNTY SHERIFF'S		255.60
	POLICE		2,092.69
07	CODE HEARING DEPARTMENT		
172	CITY OF ROCK FALLS	1,719.56	203.91
	CODE HEARING DEPARTMENT		203.91
10	STREET		
2985	CAPITAL ONE	1,624.27	15.92

INVOICES DUE ON/BEFORE 10/01/2021

VENDOR #	NAME	PAID THIS FISCAL YEAR	AMOUNT DUE
GENERAL FUND			
10	STREET		
4207	O'REILLY AUTOMOTIVE INC	2,256.84	228.54
4913	MID-WEST TRUCKERS ASSOC INC		375.00
5178	COMCAST BUSINESS	3,726.88	39.03
55	ARAMARK UNIFORM SERVICES, INC.	4,156.85	71.61
631	MURRAY & SONS EXCAVATING, INC	133,865.86	1,232.00
T0005346	ESSENCE CHEMICAL COMPANY	5,050.96	913.48
	STREET		2,875.58
12	PUBLIC PROPERTY		
1023	WILLETT, HOFMANN & ASSOCIATES	82,120.97	2,397.25
	PUBLIC PROPERTY		2,397.25
13	FIRE		
172	CITY OF ROCK FALLS	1,719.56	34.10
2301	STERLING NAPA	57.39	59.98
2985	CAPITAL ONE	1,624.27	93.82
3173	MUNICIPAL EMERGENCY SERVICES	2,365.37	202.40
4207	O'REILLY AUTOMOTIVE INC	2,256.84	38.97
4544	UPS	42.03	25.80
4796	VERIZON WIRELESS	8,042.36	163.49
5178	COMCAST BUSINESS	3,726.88	117.11
	FIRE		735.67
BUILDING CODE DEMOLITION FUND			
12	BUILDING CODE DEMOLITION FUND		
1013	WHITESIDE COUNTY COLLECTOR	6,678.22	29.83
	BUILDING CODE DEMOLITION FUND		29.83
TIF - DOWNTOWN REDEVELOPMENT			
19	DOWNTOWN REDEVELOPMENT		
4866	LOESCHER	9,946.56	16,684.00
	DOWNTOWN REDEVELOPMENT		16,684.00

INVOICES DUE ON/BEFORE 10/01/2021

VENDOR #	NAME	PAID THIS FISCAL YEAR	AMOUNT DUE
ELECTRIC FUND			
20	OPERATION & MAINTENANCE		
1527	RESCO	7,305.90	68,845.00
172	CITY OF ROCK FALLS	1,719.56	21.10
2451	MENARDS	4,785.04	119.80
2611	FISCH MOTORS INC	609.00	119.00
283	ANIXTER INC	18,717.74	14,500.00
34	ALTORFER INC.	63,937.04	7,491.28
4383	GRAINGER	204.75	294.55
4544	UPS	42.03	22.83
4626	ENGEL ELECTRIC CO.	13,386.66	235.00
4730	FLETCHER-REINHARDT CO	3,443.72	1,960.00
4866	LOESCHER	9,946.56	5,100.00
4889	KIRBY CABLE SERVICE INC		29,562.50
4913	MID-WEST TRUCKERS ASSOC INC		750.00
4995	CLOUDPOINT GEOSPATIAL	30,687.50	1,983.34
5178	COMCAST BUSINESS	3,726.88	78.23
5210	RITZ SAFETY LLC	2,328.69	146.38
5299	GREAT WESTERN SUPPLY CO	509.29	257.09
5317	SLATE ROCK FR	1,232.81	553.36
533	ELECTRONICS, INC.	3,390.75	222.00
67	B & D SUPPLY CO.	1,061.95	31.78
795	SBM BUSINESS EQUIPMENT CENTER	7,192.68	399.00
964	UUSCO	10,656.25	5,479.75
T0003588	DIXON PROP SHOP	83.09	54.00
	OPERATION & MAINTENANCE		138,225.99
FIBER OPTIC BROADBAND/TAXABLE			
23	FIBER OPTIC BROADBAND/TAXABLE		
172	CITY OF ROCK FALLS	1,719.56	1.13
	FIBER OPTIC BROADBAND/TAXABLE		1.13
SEWER FUND			
38	OPERATION & MAINTENANCE		
1165	CEC OF THE SAUK VALLEY INC	14,393.17	243.48
172	CITY OF ROCK FALLS	1,719.56	16.78
2015	MARTY THURM		46.45
2517	WM CORPORATE SERVICES, INC	9,444.62	1,298.27
2985	CAPITAL ONE	1,624.27	93.92
4027	WHITESIDE COUNTY RECORDER	525.00	21.50

INVOICES DUE ON/BEFORE 10/01/2021

VENDOR #	NAME	PAID THIS FISCAL YEAR	AMOUNT DUE

SEWER FUND			
38	OPERATION & MAINTENANCE		
4045	SCADAWARE, INC.		1,201.99
4796	VERIZON WIRELESS	8,042.36	76.02
482	JOHNSON OIL CO	869.64	696.00
4913	MID-WEST TRUCKERS ASSOC INC		300.00
4995	CLOUDPOINT GEOSPATIAL	30,687.50	1,983.33
5178	COMCAST BUSINESS	3,726.88	39.03
	OPERATION & MAINTENANCE		6,016.77
WATER FUND			
40	WATER		
1023	WILLETT, HOFMANN & ASSOCIATES	82,120.97	13,812.00
194	GRUMMERT'S HARDWARE - R.F.	3,412.75	29.19
4361	FERGUSON WATERWORKS #2516	67,651.22	17.28
	WATER		13,858.47
48	OPERATION & MAINTENANCE		
1165	CEC OF THE SAUK VALLEY INC	14,393.17	1,149.68
1224	AIRGAS USA LLC	816.08	41.83
172	CITY OF ROCK FALLS	1,719.56	11.41
1740	VIKING CHEMICAL CO	4,044.00	1,069.00
194	GRUMMERT'S HARDWARE - R.F.	3,412.75	40.45
2451	MENARDS	4,785.04	99.94
2985	CAPITAL ONE	1,624.27	91.36
34	ALTORFER INC.	63,937.04	65.70
4027	WHITESIDE COUNTY RECORDER	525.00	21.50
4361	FERGUSON WATERWORKS #2516	67,651.22	672.24
4707	KIMBALL MIDWEST	1,423.19	70.47
4796	VERIZON WIRELESS	8,042.36	114.03
4913	MID-WEST TRUCKERS ASSOC INC		375.00
4995	CLOUDPOINT GEOSPATIAL	30,687.50	1,983.33
5178	COMCAST BUSINESS	3,726.88	39.03
55	ARAMARK UNIFORM SERVICES, INC.	4,156.85	136.50
852	S.J. SMITH CO INC	205.97	183.64
	OPERATION & MAINTENANCE		6,165.11

GARBAGE FUND

DATE: 09/30/21
TIME: 10:29:29
ID: AP443000.WOW

CITY OF ROCK FALLS
DEPARTMENT SUMMARY REPORT

PAGE: 6

INVOICES DUE ON/BEFORE 10/01/2021

VENDOR #	NAME	PAID THIS FISCAL YEAR	AMOUNT DUE

GARBAGE FUND			
50	GARBAGE		
4446	MORING DISPOSAL, INC.	227,336.51	207.50
	GARBAGE		207.50
CUSTOMER SERVICE CENTER			
51	CUSTOMER SERVICE CENTER		
172	CITY OF ROCK FALLS	1,719.56	154.08
2688	STAPLES CREDIT PLAN	738.80	65.99
4107	H.H.H. INCORPORATED		638.00
5178	COMCAST BUSINESS	3,726.88	78.16
760	ROCK FALLS POSTMASTER	17,745.00	3,500.00
	CUSTOMER SERVICE CENTER		4,436.23
MOTOR FUEL TAX FUND			
65	MOTOR FUEL TAX		
1023	WILLETT, HOFMANN & ASSOCIATES	82,120.97	2,749.45
	MOTOR FUEL TAX		2,749.45
	TOTAL ALL DEPARTMENTS		231,184.82

CITY OF ROCK FALLS

ORDINANCE NO. 2021-2537

**ORDINANCE APPROVING CONTRACT AND AUTHORIZING
THE PURCHASE AND FINANCING OF PERSONAL PROPERTY
(2 – 2021 CHEVROLET TAHOE SPECIAL SERVICE PACKAGE VEHICLES)**

ADOPTED BY THE
CITY COUNCIL
OF THE
CITY OF ROCK FALLS

THIS _____ DAY OF _____, 2021

Published in pamphlet form by authority of the City Council of the City of Rock Falls, Illinois,
this _____ day of _____, 2021.

**ORDINANCE APPROVING CONTRACT AND AUTHORIZING
THE PURCHASE AND FINANCING OF PERSONAL PROPERTY
(2 – 2021 CHEVROLET TAHOE SPECIAL SERVICE PACKAGE VEHICLES)**

WHEREAS, Section 11-76.1-1 of the Illinois Municipal Code, 65 ILCS 5/1-1 et. seq., authorizes the corporate authorities of each municipality to purchase or lease personal property for public purposes pursuant to contracts or leases which provide for the consideration for such purchase or lease to be paid in annual installments during a period not exceeding twenty (20) years; and

WHEREAS, the Mayor and City Council (collectively, the “Corporate Authorities”) of the City of Rock Falls (the “City”), have negotiated with Currie Motors Commercial Center (the “Seller”), for the lease and/or purchase of certain personal property described as follows: 2 – 2021 Chevrolet Tahoe Special Service Package Vehicles (the “Personal Property”); and

WHEREAS, the lease and/or purchase of the Personal Property is contingent upon the execution of a contract or lease between the City and Seller, which provides for the purchase and/or lease of the Personal Property to be paid in annual installments during a period not to exceed twenty (20) years, all as more specifically described in the agreement attached hereto as Exhibit A (the “Agreement”); and

WHEREAS, the Corporate Authorities have discussed the needs of the City with respect to the Personal Property and have determined that the purchase and/or lease of said Personal Property is for a proper public purpose and is in the best interests of the City and its residents; and

WHEREAS, the Corporate Authorities find and determine that the best interests of the City and its residents will be served by acceptance of the Agreement by the City and the lease and/or purchase of the Personal Property in accordance with the terms therein.

NOW, THEREFORE, BE IT ORDAINED, by the Mayor and City Council of the City of Rock Falls, Whiteside County, Illinois as follows:

SECTION 1: The preambles to this Ordinance are true and correct and are hereby incorporated into this Section 1 as if more fully set forth herein.

SECTION 2: The Agreement is hereby accepted by the Corporate Authorities on behalf of the City for the lease and/or purchase of the Personal Property, upon the terms and conditions more specifically set forth therein.

SECTION 3: The City Council finds that the customary practice of requiring a first and second reading of ordinances is not applicable or necessary in the case of this ordinance, and a first reading preliminary to adoption hereof is hereby waived, and this ordinance shall become effective upon its passage.

SECTION 4: The City Administrator is hereby authorized and directed to sign and the City Clerk is hereby authorized and directed to attest the Agreement for the lease and/or purchase of the Personal Property, which Agreement shall be substantially in the form attached hereto as Exhibit A.

SECTION 5: The City Administrator and the City Clerk are hereby authorized, respectively, to execute and attest such other documents as may be necessary to effectuate the lease and/or purchase of the Personal Property for the City as herein authorized.

SECTION 6: If any section, paragraph, or provision of this Ordinance shall be held to be invalid or unenforceable for any reason, the invalidity or unenforceability of such section, paragraph, or provision shall not affect any of the remaining provisions of this Ordinance.

SECTION 7: All ordinances, resolutions, motions, or parts thereof in conflict herewith and the same are hereby repealed.

SECTION 8: This Ordinance shall be in full force and effect from and after its passage, adoption and approval in the manner provided by law.

Approved this _____ day of _____, 2021.

Mayor

ATTEST:

City Clerk

AYE

NAY

EXHIBIT A

(attach lease/contract)



September 30, 2021

Mayor and City Council
 City of Rock Falls
 603 West 10th Street
 Rock Falls, Illinois 61071

Re: **Former Limestone Building Property – Earthwork and Grading
 Award Recommendation**

Dear Mayor and Council:

Proposals for your Former Limestone Building Property Earthwork and Grading Project were opened at City Hall at 10:00 A.M. on Thursday September 30, 2021. A total of five (5) proposals were received.

All proposals were opened, and the total amount bid by each contractor was read aloud. A tabulation of bids was later completed and verified in our office. A copy of the bid tabulation is attached for your review.

BIDDING IRREGULARITIES

Fischer Excavating and Jeff Behrens Excavating did not acknowledge addendum #1. Jeff Behrens Excavating had multiplication errors in their bid. None of these irregularities affected the bid results, therefore we recommend the irregularities be waived.

COST

The cost estimate based on completed plans was \$94,630.00. The low bid of \$104,483.80 was \$9,853.80 and 10.4% above our estimate. A tabulation of bids compared to our estimate in dollars and percent is as follows:

CONTRACTOR	BID	\$ OVER/UNDER ESTIMATE	% OVER/UNDER ESTIMATE
Porter Brothers Rock Falls, IL	\$104,483.80	\$9,853.80↑	10.4%↑
Law Excavating Mt. Carroll, IL	\$111,040.70	\$16,410.70↑	17.3%↑
Fischer Excavating Freeport, IL	\$127,998.60	\$33,368.60↑	35.3%↑
Martin & Company Excavating Oregon, IL	\$195,950.92	\$101,320.92↑	107.1%↑
Jeff Behrens Excavating Rock Falls, IL	\$197,258.60	\$102,628.60↑	108.5%↑

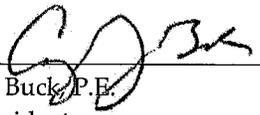
RECOMMENDATION

We recommend that the City of Rock Falls' Former Limestone Building Property Earthwork and Grading project be awarded to Porter Brothers, 9904 Freeport Road, Rock Falls, Illinois 61071, for their bid of \$104,483.80.

Please feel free to call if you have any questions.
Sincerely,

WILLETT, HOFMANN & ASSOCIATES, INC.

BY _____


Corey J. Buck, P.E.

Vice President

General Manager, Sterling Office

Enclosure

cc: Robbin Blackert, City Administrator w/encl.
Michelle Conklin, Clerk w/encl.
WHA 1132Z20 file

TABULATION OF BIDS

Contractor				Engineer's Estimate		Porter Brothers		Law Excavating, Inc.		Fischer Excavating, Inc.		Martin & Company		Jeff Behrens Excavating	
				\$94,630.00		9904 Freeport Road Rock Falls, IL 61071		100 N. Jackson Street Mt. Carroll, IL 61053		1567 Heine Road Freeport, IL 61032		P.O. Box 443 Oregon, IL 61061		2608 Prophetstown Road Rock Falls, IL 61071	
Addenda Acknowledgement (1)						Yes		Yes		No		Yes		No	
Signed Proposal						Yes		Yes		Yes		Yes		Yes	
Bid Bond						5%		5%		5%		5%		5%	
No.	Item	Quantity	Units	Unit Price	Total	Unit Price	Total	Unit Price	Total	Unit Price	Total	Unit Price	Total	Unit Price	Total
1	EARTH EXCAVATION (SPECIAL)	1,737	CU YD	\$ 12.00	\$ 20,844.00	\$ 7.40	\$ 12,853.80	\$ 13.00	\$ 22,581.00	\$ 27.00	\$ 46,899.00	\$ 33.60	\$ 58,363.20	\$ 41.50	\$ 72,085.50
2	FURNISHED EXCAVATION (SPECIAL)	3,246	CU YD	\$ 15.00	\$ 48,690.00	\$ 17.50	\$ 56,805.00	\$ 18.00	\$ 58,428.00	\$ 15.40	\$ 49,988.40	\$ 31.30	\$ 101,599.80	\$ 21.50	\$ 69,789.00
3	TOPSOIL FURNISH AND PLACE, 4"	3,684	SQ YD	\$ 4.00	\$ 14,736.00	\$ 6.50	\$ 23,946.00	\$ 4.80	\$ 17,683.20	\$ 3.30	\$ 12,157.20	\$ 4.88	\$ 17,977.92	\$ 11.56	\$ 42,587.04
4	SEEDING, CLASS 1 (SPECIAL)	0.76	ACRE	\$ 5,000.00	\$ 3,800.00	\$ 1,450.00	\$ 1,102.00	\$ 3,250.00	\$ 2,470.00	\$ 5,750.00	\$ 4,370.00	\$ 5,500.00	\$ 4,180.00	\$ 5,227.13	\$ 3,972.62
5	SEEDING, CLASS 2 (SPECIAL)	0.46	ACRE	\$ 5,000.00	\$ 2,300.00	\$ 1,650.00	\$ 759.00	\$ 3,600.00	\$ 1,656.00	\$ 5,950.00	\$ 2,737.00	\$ 5,700.00	\$ 2,622.00	\$ 5,227.05	\$ 2,404.44
6	MULCH, METHOD 3	1.22	ACRE	\$ 1,000.00	\$ 1,220.00	\$ 4,900.00	\$ 5,978.00	\$ 3,625.00	\$ 4,422.50	\$ 5,350.00	\$ 6,527.00	\$ 5,200.00	\$ 6,344.00	\$ 1,524.59	\$ 1,860.00
7	PERIMETER EROSION BARRIER	1,520	FOOT	\$ 2.00	\$ 3,040.00	\$ 2.00	\$ 3,040.00	\$ 2.50	\$ 3,800.00	\$ 3.50	\$ 5,320.00	\$ 3.20	\$ 4,864.00	\$ 3.00	\$ 4,560.00
TOTAL BID PROPOSAL					\$ 94,630.00		\$ 104,483.80		\$ 111,040.70		\$ 127,998.60		\$ 195,950.92		\$ 197,258.60
						From Estimate: \$ 9,853.80		\$ 16,410.70		\$ 33,368.60		\$ 101,320.92		\$ 102,628.60	
						10.4%		17.3%		35.3%		107.1%		108.5%	

CITY OF ROCK FALLS, ILLINOIS
PURCHASING POLICY AND PROCEDURES



Passed by the City Council on July 3, 2012
Last Amended March 2019

Table of Contents

Introduction	4
Statement of Policy	4
Code of Ethics.....	4
Purchasing Policy	5
Section 1: Definitions	5
Section 2: (820 ILCS 130/) Prevailing Wage Act.....	6
Section 3: Determination of Total Project Cost	6
Use Anticipated Cost.....	6
No “Bid Splitting”	6
Include Total Quantity Needed.....	6
Section 4: Bond and Letter of Credit Requirements.....	7
Bond Required:	7
Letter of Credit:.....	7
Emergency Repairs:.....	7
Section 5: Informal Bidding & Quotes (Goods & Services under \$25,000.)	7
Goods and Services \$2,500.00 up to \$5,000.00;.....	7
Goods and Services \$5,001.00 up to 10,000.00;.....	8
Goods and Services \$10,001.00 up to \$24,999.99;	8
Section 6: Formal Bidding, Public Works Construction or Other Public Improvements (\$25,000.00 and Over)	9
Section 7: Emergency Purchases & Outsourcing of Labor.....	9
Section 8: Sole Source Provider Purchases.....	9
Section 9: Local Vendor Preference.....	10
Section 10: Authorized Purchasing Level.....	10
Section 11: Petty Cash	10
Section 12: Credit Card Expenditures	11
Purpose	11
Groups Affected.....	11
Policy	11
Procedures	11
Control	12
Section 13: Receiving Procedures and Invoice Processing	12

Receiving Procedures..... 12
Invoice Processing..... 12

Introduction

Statement of Policy

The purpose of this purchasing manual is to provide the City of Rock Falls staff with guidelines and direction for the acquisition of goods and services. In order to increase the purchasing value of public funds and to provide safeguards for maintaining a procurement system of quality and integrity.

The manual is divided into sections dealing with purchasing policies and procedures. The policy sections contain all the purchasing policies reviewed and recommended by the Finance and Public Works Committees and adopted by the City Council which must be adhered to by City employees and administration.

Code of Ethics

All City personnel engaged in purchasing and related activities shall conduct business dealings in a professional manner. Good stewardship of public funds requires the highest degree of public trust to protect the interest of the residents of the City of Rock Falls. City employees shall:

- Ensure that public money is spent efficiently and in accordance with statute, ordinance and City policy.
- Maintain confidentiality.
- Not accept gifts or favors from current or potential suppliers, which might compromise the integrity of their purchasing function.
- Purchase without favor or prejudice.
- Ensure that all potential suppliers are provided with adequate and identical information upon which to base their offer or quotation and that any subsequent information is made available to all bidders.
- Establish and maintain procedures to ensure that fair and equal consideration is given to each offer or quotation received and selection is based upon the lowest total cost compliant bid.
- Offer a prompt and courteous response to all inquiries from potential or existing suppliers.

It shall be the responsibility of the City's administration to recommend to the Personnel Committee of the City if a violation of this purchasing manual or code of ethics has occurred and if any disciplinary action should be taken.

Purchasing Policy

Section 1: Definitions

"Public works" means all fixed works constructed or demolished by any public body, or paid for wholly or in part out of public funds. "Public works" as defined herein includes all projects financed in whole or in part with bonds, grants, loans, or other funds made available by or through the State or any of its political subdivisions, including but not limited to: bonds issued under the Industrial Project Revenue Bond Act (Article 11, Division 74 of the Illinois Municipal Code), the Industrial Building Revenue Bond Act, the Illinois Finance Authority Act, the Illinois Sports Facilities Authority Act, or the Build Illinois Bond Act; loans or other funds made available pursuant to the Build Illinois Act; loans or other funds made available pursuant to the Riverfront Development Fund under Section 10-15 of the River Edge Redevelopment Zone Act; or funds from the Fund for Illinois' Future under Section 6z-47 of the State Finance Act, funds for school construction under Section 5 of the General Obligation Bond Act, funds authorized under Section 3 of the School Construction Bond Act, funds for school infrastructure under Section 6z-45 of the State Finance Act, and funds for transportation purposes under Section 4 of the General Obligation Bond Act. "Public works" also includes (i) all projects financed in whole or in part with funds from the Department of Commerce and Economic Opportunity under the Illinois Renewable Fuels Development Program Act for which there is no project labor agreement; (ii) all work performed pursuant to a public private agreement under the Public Private Agreements for the Illiana Expressway Act or the Public-Private Agreements for the South Suburban Airport Act; and (iii) all projects undertaken under a public-private agreement under the Public-Private Partnerships for Transportation Act. "Public works" also includes all projects at leased facility property used for airport purposes under Section 35 of the Local Government Facility Lease Act. "Public works" also includes the construction of a new wind power facility by a business designated as a High Impact Business under Section 5.5(a)(3)(E) of the Illinois Enterprise Zone Act. "Public works" does not include work done directly by any public utility company, whether or not done under public supervision or direction, or paid for wholly or in part out of public funds. "Public works" also includes any corrective action performed pursuant to Title XVI of the Environmental Protection Act for which payment from the Underground Storage Tank Fund is requested. "Public works" does not include projects undertaken by the owner at an owner-occupied single-family residence or at an owner-occupied unit of a multi-family residence. "Public works" does not include work performed for soil and water conservation purposes on agricultural lands, whether or not done under public supervision or paid for wholly or in part out of public funds, done directly by an owner or person who has legal control of those lands.

"Construction" means all work on public works involving laborers, workers or mechanics. This includes any maintenance, repair, assembly, or disassembly work performed on equipment whether owned, leased, or rented.

"Locality" means the county where the physical work upon public works is performed, except (1) that if there is not available in the county a sufficient number of competent skilled laborers, workers and mechanics to construct the public works efficiently and properly, "locality" includes any other county nearest the one in which the work or construction is to be performed and from which such persons may be obtained in sufficient numbers to perform the work and (2) that, with respect to contracts for highway work with the Department of Transportation of this State, "locality" may at the discretion of the Secretary of the Department of Transportation be construed to include two or more adjacent counties from which workers may be accessible for work on such construction.

"Public body" means the State or any officer, board or commission of the State or any political subdivision or department thereof, or any institution supported in whole or in part by public funds, and includes every county, city, town, village, township, school district, irrigation, utility, reclamation improvement or other district and

every other political subdivision, district or municipality of the state whether such political subdivision, municipality or district operates under a special charter or not.

"Labor organization" means an organization that is the exclusive representative of an employer's employees recognized or certified pursuant to the National Labor Relations Act.

The terms **"general prevailing rate of hourly wages"**, **"general prevailing rate of wages"** or **"prevailing rate of wages"** when used herein shall mean the hourly cash wages plus annualized fringe benefits for training and apprenticeship programs approved by the U.S. Department of Labor, Bureau of Apprenticeship and Training, health and welfare, insurance, vacations and pensions paid generally, in the locality in which the work is being performed, to employees engaged in work of a similar character on public works.

Section 2: (820 ILCS 130/) Prevailing Wage Act.

(820 ILCS 130/1) (from Ch. 48, par. 39s-1)

Sec. 1. It is the policy of the State of Illinois that a wage of no less than the general prevailing hourly rate as paid for work of a similar character in the locality in which the work is performed, shall be paid to all laborers, workers and mechanics employed by or on behalf of any and all public bodies engaged in public works

Sec. 2. This Act applies to the wages of laborers, mechanics and other workers employed in any public works, as hereinafter defined, by any public body and to anyone under contracts for public works. This includes any maintenance, repair, assembly, or disassembly work performed on equipment whether owned, leased, or rented.

Section 3: Determination of Total Project Cost

Use Anticipated Cost

In most cases, an engineer's estimate will determine the total cost of a project. However, if an engineer's estimate is not necessary or applicable to a project, then quotes should be gathered (following the guidance in this policy manual) to determine the total project cost and which threshold applies.

No "Bid Splitting"

Requirements shall not be divided to come up with a lower total cost to avoid contract approval levels or competition requirements. If one item being purchased requires another item to make a whole, the total accumulated costs of the two items (when they can reasonably be projected) should be considered together to determine which approval level and cost threshold apply, unless the two items are not available from a single supplier. Example: Replacement flooring is being purchased for a conference room. The cost for the removal and disposal of the old flooring, new carpet, new pad and installation would be considered together to compute the cost of the replacement.

Include Total Quantity Needed

Requirements for the total quantity of an item should be considered when determining which cost threshold and related purchasing/bidding requirements apply.

Section 4: Bond and Letter of Credit Requirements

Bond Required:

Public work of any kind costing over \$5,000 to be performed for the municipality, shall require every contractor for the work to furnish, supply and deliver a bond to the City of Rock Falls with good and sufficient sureties. The amount of the bond shall be fixed by the officials, boards, commissions, commissioners or agents, and the bond, among other conditions, shall be conditioned for the completion of the contract, for the payment of material used in the work and for all labor performed in the work, whether by subcontractor or otherwise.

Letter of Credit:

If a project is not being paid by motor fuel tax, federal or state funds, and the contract is for less than \$100,000, the bond can be a bank letter of credit instead of a surety bond.

Each bond or letter of credit is deemed to contain the following provisions whether such provisions are inserted in such bond or not:

“The principal and sureties on this bond agree that all the undertakings, covenants, terms, conditions and agreements of the contract or contracts entered into between the principal and the State or any political subdivision thereof will be performed and fulfilled and to pay all persons, firms and corporations having contracts with the principal or with subcontractors, all just claims due them under the provisions of such contracts for labor performed or materials furnished in the performance of the contract on account of which this bond is given, when such claims are not satisfied out of the contract price of the contract on account of which this bond is given, after final settlement between the officer, board, commission or agent of the State or of any political subdivision thereof and the principal has been made.”

Emergency Repairs:

If the contract is for emergency repairs as provided in the Illinois Procurement Code, proof of payment for all labor, materials, apparatus, fixtures, and machinery may be furnished in lieu of the bond required by this Section.

Section 5: Informal Bidding & Quotes (Goods & Services under \$25,000.)

Goods and Services \$2,500.00 up to \$5,000.00;

1. Verbal quotations are to be sought except; (See Form Attached)
 - A) Where goods or services are needed immediately in an emergency situation and the quotation process would hamper the effectiveness or quality or immediacy of achieving the desired outcome.
 - B) Where rates are considered reasonable and consistent with normal market rates for items of a like nature, and the consistency with normal market rates is tested from time to time by way of seeking three quotations to confirm, or otherwise, that the purchases are achieving value for money and complying with standards.
2. Orders for the same service are not to be split in order to bring the amount under \$2,500.00.

3. Department Heads calling for and receiving the quotations shall complete a Quotation Form, and shall include:
 - A. Detailed description of the goods or services being quoted.
 - B. List of eligible vendors/contractors being asked to provide a quote.
 - C. List of vendors/contractors who submitted a quote, the cost and details of quote.
 - D. Name of person giving the quote.
 - E. When the quotation accepted is other than the lowest received, a detailed explanation must be provided stating the reason(s) for acceptance of higher quote.
4. All quotes received shall be attached to the final invoice when submitted for payment to show that quotes were sought in accordance with this section of the Purchasing Policy.

Goods and Services \$5,001.00 up to 10,000.00;

1. Minimum of **two (2) written** quotations are to be sought;
Steps to complete an informal written quote:
 - A. Develop specifications of desired goods or services.
 - B. Mail/fax/email specifications to identified eligible vendors.
 - C. Evaluate the bid results and determine which bid serves the City's best interests.
 - D. Notify all unsuccessful bidders in writing.
2. If the recommended vendor/contractor has not submitted the low bid, ensure that there is adequate justification for the higher bid.
3. All quotes received shall be attached to the final invoice when submitted for payment to show that quotes were sought in accordance with this section of the Purchasing Policy.

Goods and Services \$10,001.00 up to \$24,999.99;

2. Minimum of **three (3) written** quotations are to be sought;
Steps to complete an informal written quote:
 - A. Develop specifications of desired goods or services.
 - B. Mail/fax/email specifications to identified eligible vendors.
 - C. Evaluate the bid results and determine which bid serves the City's best interests.
 - D. Forward to appropriate committee for approval (City Council approval will also be necessary)
 - D. Notify all unsuccessful bidders in writing.
2. If the recommended vendor/contractor has not submitted the low bid, ensure that there is adequate justification for the higher bid.
3. All quotes received shall be attached to the final invoice when submitted for payment to show that quotes were sought in accordance with this section of the Purchasing Policy.

Section 6: Formal Bidding, Public Works Construction or Other Public Improvements (\$25,000.00 and Over)

Competitive bidding is a process designed to promote honest, open, and fair government and to ensure that the municipality receives the best work at the lowest prices. The general rule under the Illinois Municipal Code is that a contract for the construction of any work or other public improvement must be let by competitive bidding if the expense of that project will exceed \$25,000.00

All public works construction or other public improvements exceeding \$25,000.00 shall be subject to the competitive bidding process and shall be let, by free and open competitive bidding after advertisement, to the lowest responsible bidder or any other bidder whom the City of Rock Falls deems to be in the best interest of the City. Sealed bids shall be sought when a good or service is reasonably anticipated to cost more than \$25,000.00, either individually or aggregate purchases made over the course of one fiscal year.

All bid packets shall be completed by the City's engineering firm(s). Any bids executed through the formal bid process must have prior approval for bid letting through the responsible committee, based on funding considerations for the project.

Exceptions to the formal bidding process can occur if authorized by a vote of two-thirds (2/3) of all the aldermen then holding office. *(65 ILCS 5/Article 8 Division 9 – Purchasing and Public Works Contracts in Municipalities of less than 500,000)*

Section 7: Emergency Purchases & Outsourcing of Labor

Emergency purchases or emergency repairs requiring outsourcing of labor are exempt from the competitive purchase process. Emergency purchases exist where there is a threat to public health, welfare or safety, including threats to public property. The Mayor or City Administrator shall have the authority to approve all emergency purchases and outsourcing, provided that all such purchases and outsourcing shall be reported to the City Council at its next regularly scheduled meeting. Also refer to the Bond Requirement section of this manual for emergency purchases or services.

Section 8: Sole Source Provider Purchases

It is the policy of the City of Rock Falls to recognize and solicit quotes. Sole source purchases may be made if it has been determined that there is only one economically feasible source for the good or service. These purchases should be used if it is in the best interest of the City. A Justification For Sole Source Purchase form will need to be completed and approved by the Mayor or City Administrator at the time of the sole source purchase is requested.

Section 9: Local Vendor Preference

1. To encourage purchasing within the City of Rock Falls, it is the policy of the City to recognize and solicit quotes from vendors in Rock Falls. Whenever such local sources exist and are competitive, purchases may be made from local vendors; however, all purchasing policies as well as any other City award factors still apply. To be considered a local vendor, the business must have a current valid business registration or sales tax license on file with the City of Rock Falls.
2. Bids may be awarded as deemed appropriate solely within the discretion of the City to local vendors providing their proposal or quote is within five percent (5%) than the lowest bid.
3. In an event where it may be prohibited as a condition of any grant, or violation of the law, the local vendor preference may not apply.

Section 10: Authorized Purchasing Level

1. **Department Heads** (Excluding Electric Department Purchases) up to \$5,000.00
2. **Electric Superintendent** up to \$25,000.00 on purchases for supplies or equipment only, excluding leases.
3. **Mayor** up to \$5,000.00/\$10,000.00 in absence of City Administrator.
4. **City Administrator** up to \$10,000.00 for all departments except Electric which is up to \$25,000.00 for supplies or equipment only, excluding leases.

Section 11: Petty Cash

The petty cash fund shall be used to pay for small obligations which do not exceed \$25.00. Petty cash will be granted under the following circumstances:

- A) An employee is requesting a cash advance for expenditures relating to City business (sales receipt must be returned to Business office within one business day)
- B) An employee is requesting reimbursement for expenditures relating to City business (sales receipt must be presented to Business office to receive reimbursement)
- C) Petty Cash reimbursement requests and receipts follow the same protocol and requirements as **Section 12: Credit Card Expenditures.**

It is the responsibility of the employee using the petty cash fund to obtain the City's tax-exempt number, as sales tax on purchases will not be reimbursed.

Section 12: Credit Card Expenditures

Purpose

1. To authorize the City's policy on the use of City of Rock Falls credit cards issued for the sole purpose of transacting official City business.
2. To allow those individuals issued credit cards access to efficient and alternative means of payment for approved expenses; including expenses related to travel or emergency purchases.
3. To establish managerial reporting related to credit card purchases.
4. To insure efficiency in regards to payable process.

Groups Affected

Mayor, City Administrator and Department Heads

Policy

1. Departmental credit cards will be issued to the Department Heads, in addition to those in possession by the Mayor and City Administrator. The issuance of all cards will be at the direction of the Finance Committee as permitted by City Council approval of this policy.
2. Upon issuance of a credit card, issuant shall sign an agreement verifying the receipt of his or her card, the maximum card limit, one time purchase limit assigned, and acknowledgement of the policies and procedures outlined in this policy.
3. Credit cards will only be issued for official City business purposes, no personal purchases of any type are allowed.
4. Credit cards may be utilized for travel related official City business expenses (within and outside of the City), including conference/class registrations by the assigned card holder or members of his/her department.
5. The following purchases **are not allowed** under this policy at any time.
 - Alcoholic beverages, tobacco products and controlled substances
 - Capital equipment or equipment upgrades (unless no other form of payment is available)
 - Items or services on term contracts
 - Maintenance agreements
 - Personal items or loans
 - Rentals (other than short term auto rentals)
 - Cash advances
6. Individuals who are found to be in non-compliance to these approved policies and procedures, risk revocation of their credit card privileges and/or disciplinary action. If found guilty, they shall have restitution made from any monies owed to them by the City of Rock Falls.

Procedures

1. Credit Card limits for all users shall be maintained by the Business Office and is responsible for application, issuance and termination of all cards in conjunction with the issuing banking institution.
2. All routine purchases, as well as emergency purchases that may be necessary shall remain within the established budget of the respective department. Non-budgeted, emergency purchases must be reconciled within the approved department budget.
3. Detailed receipts must be retained and submitted to the Business Office to supplement the credit card monthly statements. In the case of meals and lodging or travel, each receipt must include the names of all persons involved in the purchase and a brief description of the business purchase, in accordance with the regulations established by the Internal Revenue Service.
4. Credit cardholders should make every effort to ensure the purchases do not include sales tax. A current tax-exempt certificate is available through the Business Office if necessary.

5. All monthly statements submitted for payment, must have the appropriate account numbers and the associated amounts clearly written on the statement. Multiple purchases charged to the same account number must be subtotaled.

Control

1. The assigned cardholder is responsible for contacting the vendor when supplies purchased with the credit card are not acceptable (incorrect order, damaged, etc.) and for arranging a return for credit and/or exchange.
2. The Finance Committee and the Business Office are responsible for administration of the cards to include, but not limited to; selection of card provider, payment of credit card bills, managing the issuance of cards and monitoring the proper usage as outlined in this policy approved by the City Council.
3. Assigned individuals will sign a Credit Card User Agreement before they are issued a credit card.
4. Upon the termination of an employee who has been assigned a credit card, the individual will be required to return the credit card for destruction, prior to the issuance of any final pay check or final benefit pay outs.

Section 13: Receiving Procedures and Invoice Processing

Receiving Procedures

1. It shall be the responsibility of the receiving department to ensure that goods and services are received as ordered, in its entirety, and in good condition.
2. If the goods are faulty or damaged, notify the office of the City Clerk immediately.
3. It shall be the responsibility of the Department Head to authorize full or partial payment.
4. All invoices shall be mailed by the vendor directly to the Business Office.

Invoice Processing

Invoice processing will authorize the full or partial payment of any goods or services invoiced to the City of Rock Falls for payment.

1. Each invoice will be examined and initialed by the appropriate Department Head.
2. The Department Head is responsible for assuring the proper budget line item has been charged for the invoiced goods or services.
3. The City Administration will also examine and approve all invoices prior to check processing.



TELEPHONE QUOTE FORM

QUOTE 1:

Vendor/Company Name: _____
Phone #: _____
Person Giving Quote: _____
Item/Project Description: _____
Price: _____
Delivery Date & Additional Cost: _____
Period of Time Quoted Price is in Effect: _____
Quote Received By: _____
Department: _____
Local City Vendor YES ___ NO ___ If no, explain: _____

QUOTE 2:

Vendor/Company Name: _____
Phone #: _____
Person Giving Quote: _____
Item/Project Description: _____
Price: _____
Delivery Date & Additional Cost: _____
Period of Time Quoted Price is in Effect: _____
Quote Received By: _____
Department: _____
Local City Vendor YES ___ NO ___ If no, explain: _____

QUOTE 3:

Vendor/Company Name: _____
Phone #: _____
Person Giving Quote: _____
Item/Project Description: _____
Price: _____
Delivery Date & Additional Cost: _____
Period of Time Quoted Price is in Effect: _____
Quote Received By: _____
Department: _____
Local City Vendor YES ___ NO ___ If no, explain: _____



Sole Source Justification

Vendor: _____

Product/Service: _____

Estimated annual expenditure for the above commodity or service: _____

Check all entries below that apply to the proposed purchase (Most sole source products/services will have more than one applicable entry).

- Original manufacturer or provider
- Only distributor of original manufacturer
- The parts/equipment are not interchangeable with Similar parts of another manufacturer
- This is the only known item or service that will meet the specialized needs of this dept. or perform the intended function
- The parts/equipment are required from this source to permit standardization
- None of the above, a detailed explanation is below.

Requested By:

Approved By:

Department Head Signature

City Administrator/Mayor

Date



Request for Quotation (Goods)

TO: _____
Company Name

ATTENTION: _____

QUOTE DEADLINE: _____

ITEM	QUANTITY	UNIT	DESCRIPTION	DELIVERY DATE	COST	TOTAL
Subtotal						
Shipping						
Tax Exempt						
TOTAL						

Quote Valid for _____ Days

Payment Terms: _____

SIGNATURE OF COMPANY REP: _____ DATE: _____

Please fax or email quote to: _____

Attention: _____



Request for Quotation (Services)

TO: _____
Company Name

ATTENTION: _____

QUOTE DEADLINE: _____

Location of Work: _____

Description and Scope of Work: _____

Quote Valid for _____ Days

Payment Terms: _____

SIGNATURE OF COMPANY REP: _____ DATE: _____

Please fax or email quote to: _____

Attention: _____

**CITY OF ROCK FALLS
Certificate of Insurance Requirements**

All Contractors, manufacturers/distributors and suppliers shall be required to carry and evidence insurance coverage with a standard Accord Certificate of Insurance with minimum limits applicable as outlined below. These Tier amounts shall be decided and required by the Department Head using the knowledge of the potential risks associated with the project being bid.

1. Minimum Insurance Requirements and limits

I. Low Hazard

A. Commercial General Liability	\$1,000,000
B. Automobile Liability	\$1,000,000
C. Workers Compensation	\$1,000,000
D. City of Rock Falls named as “Additional Insured”	
E. 60 days’ notice of cancellation	
F. Hold Harmless Agreement included in contract	
G. Umbrella Liability	\$1,000,000

II. Medium Hazard

A. Commercial General Liability	\$2,000,000
B. Automobile Liability	\$2,000,000
C. Workers Compensation	\$2,000,000
D. City of Rock Falls named as “Additional Insured”	
E. 60 days’ notice of cancellation	
F. Hold Harmless Agreement included in contract	
G. Umbrella Liability	\$2,400,000

III High Hazard

A. Commercial General Liability	\$3,000,000
B. Automobile Liability	\$3,000,000
C. Workers Compensation	\$3,000,000
D. City of Rock Falls named as “Additional Insured”	
E. 60 days’ notice of cancellation	
F. Hold Harmless Agreement included in contract	
G. Umbrella Liability	\$9,000,000

1. Cancellation or Alteration

The policies of insurance required by this exhibit shall provide that they cannot be cancelled or altered in any way changing coverage except after 30 days prior written notice by certified mail to owner.

2. Workers' Compensation and General Liability Waiver of Subrogation in favor of the City of Rock Falls.
3. Insurance Certificates
 - A. Must be submitted ten (10) days prior to any work being performed to allow review of certificates.
 - B. Certificates not meeting requirements must be revised and resubmitted within fifteen (15) days or the subcontractor will not be allowed on the jobsite.
4. Additional Insured and Broad Form Vendors' Liability in favor of the City of Rock Falls.

The City must be named as an Additional Insured with the following wording appearing on the Certificate of insurance: "The City of Rock Falls and all officials and employees of the City as to any and all projects, as an additional Insured for the Commercial General Liability as respects and all projects for any work being performed and this coverage will be primary and noncontributory."

5. Minimum Insurance Carrier

All contractors, manufacturers/distributors and suppliers' insurance carriers must comply with the minimum A.N. Best rating of A-VI for all insurance carriers.

CERTIFICATE OF COMPLIANCE STATEMENT

The undersigned, upon being first duly sworn, hereby certifies to the City of Rock Falls, Whiteside County, Illinois, that _____(bidder) shall comply with all of the following established compliance standards.

1. Certificate of Compliance with Safety Standards
2. Certificate of Compliance that the above bidder is not currently delinquent in the payment of any tax administered by or owed to the Illinois Department of Revenue, or otherwise in default upon any such tax as defined under CH.65, Sec. 11-42.1-1, Illinois Compiled Statutes.
3. Certificate of Compliance that the above bidder complies with the Illinois Human Rights Act as amended by Section 2-105, Public Act 87-1257 in relation to employment and human rights.
4. Certificate of non-Disqualification certifying that the above named bidder is not barred from contracting with any unit of State or local government, as a result of a violation of Ch. 720, Sec. 33E-11 of the Illinois Compiled Statutes.

NOTE TO BIDDER: Anyone who makes a false statement, material to this Certification, commits a Class 3 Felony under Illinois Compiled Statutes, Ch. 720, Sec. 33E-11 (b).

5. Certificate of Compliance with Prevailing Wage Rate Act certifying that all work under this contract shall comply with the Prevailing Wage Rate Act of the State of Illinois, Illinois Compiled Statutes, Chapter 820, par. 130/31. et. seq., and as amended by Public Act 86-799 and 86-693 with rates to be paid in effect at time work is performed. Contractors shall submit certified payroll records to the Illinois Department of Labor database at <https://www2.illinois.gov/idol/Laws-Rules/CONMED/Pages/Prevailing-Wage-Portal.aspx>.

Name of Contractor

By:_____

State of Illinois)
)
County of _____)

Subscribed and sworn to before me this _____ day of _____, 20____.

Notary Public

PREVAILING WAGE CERTIFICATION

Contractor –
Owner-- City of Rock Falls, Illinois

Contractor agrees that not less than the prevailing rate of wages, as found by the Department of Labor of the State of Illinois, shall be paid to all laborers, workers and mechanics performing work under the contract, and Contractor agrees that all subcontracts and lower tiered subcontracts shall contain a written stipulation that not less than the prevailing rate of wages shall be paid to all laborers, workers and mechanics performing work under such subcontract or lower tiered subcontract, all as determined by the Department of Labor of the State of Illinois.

Contractor also agrees that it shall:

1. Make and keep for a period of not less than three (3) years, records of all laborers, mechanics and other workers employed on the project, including each worker's name, address, telephone number when available, social security number, classification or classifications, hourly wages paid in each pay period, number of hours worked each day, and the starting and ending times of work each day; and
2. Certified payrolls shall be submitted to the Illinois Department of Labor database at <https://www2.illinois.gov/idol/Laws-Rules/CONMED/Pages/Prevailing-Wage-Portal.aspx>

At the time of request for each progress payment, Contractor shall deliver to Owner a contractor's affidavit listing all suppliers of labor and material, the work performed by each, the amounts paid to each to date, the amount due for work performed to date and a waiver of lien for any payments made to any such supplier signed by such supplier.

Contractor:

Owner:
City of Rock Falls, Illinois

By: _____
President

By: _____
Mayor



September 13, 2021

Mr. Dick Simon
City of Rock Falls
1109 Industrial Park Rd
Rock Falls, IL 61071

Dear Dick,

The Esri Small Utility Enterprise Agreement (SUEA) is a three-year agreement that will grant your organization access to Esri term license software. The EA will be effective on the date executed and will require a firm, three-year commitment.

Based on Esri's work with several organizations similar to yours, we know there is significant potential to apply Geographic Information System (GIS) technology in many operational and technical areas within your organization. For this reason, we believe that your organization will greatly benefit from an Enterprise Agreement (EA).

An EA will provide your organization with numerous benefits including:

- A lower cost per unit for licensed software
- Substantially reduced administrative and procurement expenses
- Complete flexibility to deploy software products when and where needed

The following business terms and conditions will apply:

- All current departments, employees, and in-house contractors of the organization will be eligible to use the software and services included in the EA.
- If your organization wishes to acquire and/or maintain any Esri software during the term of the agreement that is not included in the EA, it may do so separately at the Esri pricing that is generally available for your organization for software and maintenance.
- The organization will establish a single point of contact for orders and deliveries and will be responsible for redistribution to eligible users.
- The organization will establish a Tier 1 support center to field calls from internal users of Esri software. The organization may designate individuals as specified in the EA who may directly contact Esri for Tier 2 technical support.
- The organization will provide an annual report of installed Esri software to Esri.
- Esri software and updates that the organization is licensed to use will be automatically available for downloading.
- The fee and benefits offered in this EA proposal are contingent upon your acceptance of Esri's Small Utility EA terms and conditions.

- Licenses are valid for the term of the EA.

The terms and conditions in this Small Utility EA offer are for utilities with a total meter count which falls under the applicable tier in the Esri EA Small Utility Program. By accepting this offer, you confirm that your organization's meter count falls within this range on the date of signature and that you are therefore eligible for this pricing. If your organization's meter count does not fall within this range, please confirm your current meter count, and Esri will provide a revised quotation.

This program offer is valid for 90 days. To complete the agreement within this time frame, please contact me within the next seven days to work through any questions or concerns you may have.

To expedite your acceptance of this EA offer:

1. Sign and return the EA contract with a Purchase Order or issue a Purchase Order that references this EA Quotation and includes the following statement on the face of the Purchase Order:

"THIS PURCHASE ORDER IS GOVERNED BY THE TERMS AND CONDITIONS OF THE ESRI SMALL UTILITY EA, AND ADDITIONAL TERMS AND CONDITIONS IN THIS PURCHASE ORDER WILL NOT APPLY."

Have it signed by an authorized representative of the organization.

2. On the first page of the EA, identify the central point of contact/agreement administrator. The agreement administrator is the party that will be the contact for management of the software, administration issues, and general operations. Information should include name, title (if applicable), address, phone number, and e-mail address.
3. In the purchase order, identify the "Ship to" and "Bill to" information for your organization.
4. Send the purchase order and agreement to the address, email or fax noted below:

Esri
Attn: Customer Service SU-EA
380 New York Street
Redlands, CA 92373-8100

e-mail: service@esri.com
fax documents to: 909-307-3083

I appreciate the opportunity to present you with this proposal, and I believe it will bring great benefits to your organization.

Thank you very much for your consideration.

Best Regards,
Angela Bramer



Quotation # Q-427959

Environmental Systems Research Institute, Inc.
 380 New York St
 Redlands, CA 92373-8100
 Phone: (909) 793-2853 Fax: (909) 307-3049
 DUNS Number: 06-313-4175 CAGE Code: 0AMS3

Date: September 13, 2021

Customer # 253547 Contract #

City of Rock Falls
 Electric Utilities Dept
 1109 Industrial Park Rd
 Rock Falls, IL 61071

To expedite your order, please attach a copy of this quotation to your purchase order.
 Quote is valid from: 9/13/2021 To: 12/12/2021

ATTENTION: Dick Simon
 PHONE: (815) 622-1145
 EMAIL: dsimon@rockfalls61071.com

Material	Qty	Term	Unit Price	Total
168088	1	Year 1	\$10,000.00	\$10,000.00
Meter Counts of 0 to 10,000 Small Utility Term Enterprise License Agreement				
168088	1	Year 2	\$10,000.00	\$10,000.00
Meter Counts of 0 to 10,000 Small Utility Term Enterprise License Agreement				
168088	1	Year 3	\$10,000.00	\$10,000.00
Meter Counts of 0 to 10,000 Small Utility Term Enterprise License Agreement				

Subtotal:	\$30,000.00
Sales Tax:	\$0.00
Estimated Shipping and Handling (2 Day Delivery):	\$0.00
Contract Price Adjust:	\$0.00
Total:	\$30,000.00

Esri may charge a fee to cover expenses related to any customer requirement to use a proprietary vendor management, procurement, or invoice program.

For questions contact: Angela Bramer	Email: abramer@esri.com	Phone: (909) 793-2853 x8378
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The items on this quotation are subject to and governed by the terms of this quotation, the most current product specific scope of use document found at <https://assets.esri.com/content/dam/esrisites/media/legal/product-specific-terms-of-use/e300.pdf>, and your applicable signed agreement with Esri. If no such agreement covers any item quoted, then Esri's standard terms and conditions found at <https://go.esri.com/MAPS> apply to your purchase of that item. If any item is quoted with a multi-year payment schedule, then unless otherwise stated in this quotation, Customer is required to make all payments without right of cancellation. Third-party data sets included in a quotation as separately licensed items will only be provided and invoiced if Esri is able to provide such data and will be subject to the applicable third-party's terms and conditions. If Esri is unable to provide any such data set, Customer will not be responsible for any further payments for the data set. Federal government entities and government prime contractors authorized under FAR 51.1 may purchase under the terms of Esri's GSA Federal Supply Schedule. Supplemental terms and conditions found at <https://www.esri.com/en-us/legal/terms/state-supplemental> apply to some state and local government purchases. All terms of this quotation will be incorporated into and become part of any additional agreement regarding Esri's offerings. Acceptance of this quotation is limited to the terms of this quotation. Esri objects to and expressly rejects any different or additional terms contained in any purchase order, offer, or confirmation sent to or to be sent by buyer. Unless prohibited by law, the quotation information is confidential and may not be copied or released other than for the express purpose of system selection and purchase/license. The information may not be given to outside parties or used for any other purpose without consent from Esri. Delivery is FOB Origin.

BRAMERA

This offer is limited to the terms and conditions incorporated and attached herein.

Esri Use Only:

Cust. Name _____
Cust. # _____
PO # _____
Esri Agreement # _____



**SMALL ENTERPRISE AGREEMENT
SMALL UTILITY
(E215-1)**

This Agreement is by and between the organization identified in the Quotation ("**Customer**") and **Environmental Systems Research Institute, Inc. ("Esri")**.

This Agreement sets forth the terms for Customer's use of Products and incorporates by reference (i) the Quotation and (ii) the Master Agreement. Should there be any conflict between the terms and conditions of the documents that comprise this Agreement, the order of precedence for the documents shall be as follows: (i) the Quotation, (ii) this Agreement, and (iii) the Master Agreement. This Agreement shall be governed by and construed in accordance with the laws of the state in which Customer is located without reference to conflict of laws principles, and the United States of America federal law shall govern in matters of intellectual property. The modifications and additional rights granted in this Agreement apply only to the Products listed in Table A.

**Table A
List of Products**

Uncapped Quantities

Desktop Software and Extensions (Single Use)

ArcGIS Desktop Advanced
ArcGIS Desktop Standard
ArcGIS Desktop Basic
ArcGIS Desktop Extensions: ArcGIS 3D Analyst,
ArcGIS Spatial Analyst, ArcGIS Geostatistical Analyst,
ArcGIS Publisher, ArcGIS Network Analyst, ArcGIS
Schematics, ArcGIS Workflow Manager, ArcGIS Data
Reviewer

Enterprise Software and Extensions

ArcGIS Enterprise and Workgroup
(Advanced and Standard)
ArcGIS Monitor
ArcGIS Enterprise Extensions: ArcGIS 3D Analyst,
ArcGIS Spatial Analyst, ArcGIS Geostatistical Analyst,
ArcGIS Network Analyst, ArcGIS Schematics, ArcGIS
Workflow Manager

Enterprise Additional Capability Servers

ArcGIS Image Server

Developer Tools

ArcGIS Engine
ArcGIS Engine Extensions: ArcGIS 3D Analyst, ArcGIS
Spatial Analyst, ArcGIS Engine Geodatabase Update,
ArcGIS Network Analyst, ArcGIS Schematics
ArcGIS Runtime (Standard)
ArcGIS Runtime Analysis Extension

Limited Quantities

One (1) Professional subscription to ArcGIS Developer
Two (2) ArcGIS CityEngine Single Use Licenses
10 ArcGIS Online Viewers
10 ArcGIS Online Creators
5,000 ArcGIS Online Service Credits
10 ArcGIS Enterprise Creators
2 ArcGIS Insights in ArcGIS Enterprise
2 ArcGIS Insights in ArcGIS Online
5 ArcGIS Tracker for ArcGIS Enterprise
5 ArcGIS Tracker for ArcGIS Online
10 ArcGIS Utility Network User Type Extensions (Enterprise)
1 ArcGIS Business Analyst Web App Standard (Online)

OTHER BENEFITS

Number of Esri User Conference registrations provided annually	1
Number of Tier 1 Help Desk individuals authorized to call Esri	2
Maximum number of sets of backup media, if requested*	2
Five percent (5%) discount on all individual commercially available instructor-led training classes at Esri facilities purchased outside this Agreement	

*Additional sets of backup media may be purchased for a fee

Customer may accept this Agreement by signing and returning the whole Agreement with (i) the Quotation attached, (ii) a purchase order, or (iii) another document that matches the Quotation and references this Agreement ("**Ordering Document**"). **ADDITIONAL OR CONFLICTING TERMS IN CUSTOMER'S PURCHASE ORDER OR OTHER DOCUMENT WILL NOT APPLY, AND THE TERMS OF THIS AGREEMENT WILL GOVERN.** This Agreement is effective as of the date of Esri's receipt of an Ordering Document, unless otherwise agreed to by the parties ("**Effective Date**").

Term of Agreement: Three (3) years

This Agreement supersedes any previous agreements, proposals, presentations, understandings, and arrangements between the parties relating to the licensing of the Products. Except as provided in Article 4—Product Updates, no modifications can be made to this Agreement.

Accepted and Agreed:

(Customer)

By: _____
Authorized Signature

Printed Name: _____

Title: _____

Date: _____

CUSTOMER CONTACT INFORMATION

Contact: _____

Telephone: _____

Address: _____

Fax: _____

City, State, Postal Code: _____

E-mail: _____

Country: _____

Quotation Number (if applicable): _____

1.0—ADDITIONAL DEFINITIONS

In addition to the definitions provided in the Master Agreement, the following definitions apply to this Agreement:

"Case" means a failure of the Software or Online Services to operate according to the Documentation where such failure substantially impacts operational or functional performance.

"Deploy", "Deployed" and "Deployment" mean to redistribute and install the Products and related Authorization Codes within Customer's organization(s).

"Fee" means the fee set forth in the Quotation.

"Maintenance" means Tier 2 Support, Product updates, and Product patches provided to Customer during the Term of Agreement.

"Master Agreement" means the applicable master agreement for Esri Products incorporated by this reference that is (i) found at <https://www.esri.com/en-us/legal/terms/full-master-agreement> and available in the installation process requiring acceptance by electronic acknowledgment or (ii) a signed Esri master agreement or license agreement that supersedes such electronically acknowledged master agreement.

"Product(s)" means the products identified in Table A—List of Products and any updates to the list Esri provides in writing.

"Quotation" means the offer letter and quotation provided separately to Customer.

"Technical Support" means the technical assistance for attempting resolution of a reported Case through error correction, patches, hot fixes, workarounds, replacement deliveries, or any other type of Product corrections or modifications.

"Tier 1 Help Desk" means Customer's point of contact(s) to provide all Tier 1 Support within Customer's organization(s).

"Tier 1 Support" means the Technical Support provided by the Tier 1 Help Desk.

"Tier 2 Support" means the Esri Technical Support provided to the Tier 1 Help Desk when a Case cannot be resolved through Tier 1 Support.

2.0—ADDITIONAL GRANT OF LICENSE

2.1 Grant of License. Subject to the terms and conditions of this Agreement, Esri grants to Customer a personal, nonexclusive, nontransferable license solely to use, copy, and Deploy quantities of the Products listed in Table A—List of Products for the Term of Agreement (i) for the applicable Fee and (ii) in accordance with the Master Agreement.

2.2 Consultant Access. Esri grants Customer the right to permit Customer's consultants or contractors to use the Products exclusively for Customer's benefit. Customer will be solely responsible for compliance by consultants and contractors with this Agreement and will ensure that the consultant or contractor discontinues use of Products upon completion of work for Customer. Access to or use of Products by consultants or contractors not exclusively for Customer's benefit is prohibited. Customer may not permit its consultants or contractors to install Software or Data on consultant, contractor, or third-party computers or remove Software or Data from Customer locations, except for the purpose of hosting the Software or Data on Contractor servers for the benefit of Customer.

3.0—TERM, TERMINATION, AND EXPIRATION

3.1 Term. This Agreement and all licenses hereunder will commence on the Effective Date and continue for the duration identified in the Term of Agreement, unless this Agreement is terminated earlier as provided herein. Customer is only authorized to use Products during the Term of Agreement. For an Agreement with a limited term, Esri does not grant Customer an indefinite or a perpetual license to Products.

3.2 No Use upon Agreement Expiration or Termination. All Product licenses, all Maintenance, and Esri User Conference registrations terminate upon expiration or termination of this Agreement.

3.3 Termination for a Material Breach. Either party may terminate this Agreement for a material breach by the other party. The breaching party will have thirty (30) days from the date of written notice to cure any material breach.

3.4 Termination for Lack of Funds. For an Agreement with government or government-

owned entities, either party may terminate this Agreement before any subsequent year if Customer is unable to secure funding through the legislative or governing body's approval process.

3.5 Follow-on Term. If the parties enter into another agreement substantially similar to this Agreement for an additional term, the effective date of the follow-on agreement will be the day after the expiration date of this Agreement.

4.0—PRODUCT UPDATES

4.1 Future Updates. Esri reserves the right to update the list of Products in Table A—List of Products by providing written notice to Customer. Customer may continue to use all Products that have been Deployed, but support and upgrades for deleted items may not be available. As new Products are incorporated into the standard program, they will be offered to Customer via written notice for incorporation into the Products schedule at no additional charge. Customer's use of new or updated Products requires Customer to adhere to applicable additional or revised terms and conditions in the Master Agreement.

4.2 Product Life Cycle. During the Term of Agreement, some Products may be retired or may no longer be available to Deploy in the identified quantities. Maintenance will be subject to the individual Product Life Cycle Support Status and Product Life Cycle Support Policy, which can be found at <https://support.esri.com/en/other-resources/product-life-cycle>. Updates for Products in the mature and retired phases may not be available. Customer may continue to use Products already Deployed, but Customer will not be able to Deploy retired Products.

5.0—MAINTENANCE

The Fee includes standard maintenance benefits during the Term of Agreement as specified in the most current applicable Esri Maintenance and Support Program document (found at <https://www.esri.com/en-us/legal/terms/maintenance>). At Esri's sole discretion, Esri may make patches, hot fixes, or updates available for download. No Software other

than the defined Products will receive Maintenance. Customer may acquire maintenance for other Software outside this Agreement.

a. Tier 1 Support

1. Customer will provide Tier 1 Support through the Tier 1 Help Desk to all Customer's authorized users.
2. The Tier 1 Help Desk will be fully trained in the Products.
3. At a minimum, Tier 1 Support will include those activities that assist the user in resolving how-to and operational questions as well as questions on installation and troubleshooting procedures.
4. The Tier 1 Help Desk will be the initial point of contact for all questions and reporting of a Case. The Tier 1 Help Desk will obtain a full description of each reported Case and the system configuration from the user. This may include obtaining any customizations, code samples, or data involved in the Case.
5. If the Tier 1 Help Desk cannot resolve the Case, an authorized Tier 1 Help Desk individual may contact Tier 2 Support. The Tier 1 Help Desk will provide support in such a way as to minimize repeat calls and make solutions to problems available to Customer's organization.
6. Tier 1 Help Desk individuals are the only individuals authorized to contact Tier 2 Support. Customer may change the Tier 1 Help Desk individuals by written notice to Esri.

b. Tier 2 Support

1. Tier 2 Support will log the calls received from Tier 1 Help Desk.
2. Tier 2 Support will review all information collected by and received from the Tier 1 Help Desk including preliminary documented troubleshooting provided by the Tier 1 Help Desk when Tier 2 Support is required.
3. Tier 2 Support may request that Tier 1 Help Desk individuals provide verification of information, additional information, or answers to additional questions to

supplement any preliminary information gathering or troubleshooting performed by Tier 1 Help Desk.

4. Tier 2 Support will attempt to resolve the Case submitted by Tier 1 Help Desk.
5. When the Case is resolved, Tier 2 Support will communicate the information to Tier 1 Help Desk, and Tier 1 Help Desk will disseminate the resolution to the user(s).

6.0—ENDORSEMENT AND PUBLICITY

This Agreement will not be construed or interpreted as an exclusive dealings agreement or Customer's endorsement of Products. Either party may publicize the existence of this Agreement.

7.0—ADMINISTRATIVE REQUIREMENTS

7.1 OEM Licenses. Under Esri's OEM or Solution OEM programs, OEM partners are authorized to embed or bundle portions of Esri products and services with their application or service. OEM partners' business model, licensing terms and conditions, and pricing are independent of this Agreement. Customer will not seek any discount from the OEM partner or Esri based on the availability of Products under this Agreement. Customer will not decouple Esri products or services from the OEM partners' application or service.

7.2 Annual Report of Deployments. At each anniversary date and ninety (90) calendar days prior to the expiration of this Agreement, Customer will provide Esri with a written report detailing all Deployments. Upon request, Customer will provide records sufficient to verify the accuracy of the annual report.

8.0—ORDERING, ADMINISTRATIVE PROCEDURES, DELIVERY, AND DEPLOYMENT

8.1 Orders, Delivery, and Deployment

- a. Upon the Effective Date, Esri will invoice Customer and provide Authorization Codes to activate the nondestructive copy protection program that enables Customer to download,

operate, or allow access to the Products. If this is a multi-year Agreement, Esri may invoice the Fee up to thirty (30) calendar days before the annual anniversary date for each year.

- b. Undisputed invoices will be due and payable within thirty (30) calendar days from the date of invoice. Esri reserves the right to suspend Customer's access to and use of Products if Customer fails to pay any undisputed amount owed on or before its due date. Esri may charge Customer interest at a monthly rate equal to the lesser of one percent (1.0%) per month or the maximum rate permitted by applicable law on any overdue fees plus all expenses of collection for any overdue balance that remains unpaid ten (10) days after Esri has notified Customer of the past-due balance.
- c. Esri's federal ID number is 95-2775-732.
- d. If requested, Esri will ship backup media to the ship-to address identified on the Ordering Document, FOB Destination, with shipping charges prepaid. Customer acknowledges that should sales or use taxes become due as a result of any shipments of tangible media, Esri has a right to invoice and Customer will pay any such sales or use tax associated with the receipt of tangible media.

8.2 Order Requirements. Esri does not require Customer to issue a purchase order. Customer may submit a purchase order in accordance with its own process requirements, provided that if Customer issues a purchase order, Customer will submit its initial purchase order on the Effective Date. If this is a multi-year Agreement, Customer will submit subsequent purchase orders to Esri at least thirty (30) calendar days before the annual anniversary date for each year.

- a. All orders pertaining to this Agreement will be processed through Customer's centralized point of contact.
- b. The following information will be included in each Ordering Document:
 - (1) Customer name; Esri customer number, if known; and bill-to and ship-to addresses
 - (2) Order number
 - (3) Applicable annual payment due

9.0—MERGERS, ACQUISITIONS, OR DIVESTITURES

If Customer is a commercial entity, Customer will notify Esri in writing in the event of (i) a consolidation, merger, or reorganization of Customer with or into another corporation or entity; (ii) Customer's acquisition of another entity; or (iii) a transfer or sale of all or part of Customer's organization (subsections i, ii, and iii, collectively referred to as "**Ownership Change**"). There will be no decrease in Fee as a result of any Ownership Change.

- 9.1** If an Ownership Change increases the cumulative program count beyond the maximum level for this Agreement, Esri reserves the right to increase the Fee or terminate this Agreement and the parties will negotiate a new agreement.
- 9.2** If an Ownership Change results in transfer or sale of a portion of Customer's organization, that portion of Customer's organization will transfer the Products to Customer or uninstall, remove, and destroy all copies of the Products.
- 9.3** This Agreement may not be assigned to a successor entity as a result of an Ownership Change unless approved by Esri in writing in advance. If the assignment to the new entity is not approved, Customer will require any successor entity to uninstall, remove, and destroy the Products. This Agreement will terminate upon such Ownership Change.

CITY OF ROCK FALLS
 ELECTRICAL DEPARTMENT 1109 INDUSTRIAL PARK RD
 ROCK FALLS 61071-3161

CUSTOMER NO.	CONTACT	PHONE NO.	FAX NO.	WORK ORDER NO.
385834	JEFF TUPPER	815 622 1145		
QUOTE NO.	OPP NO.	DATE	Email	
120309	137354	9/22/2021		
MAKE	MODEL	SERIAL NO.	UNIT NO.	
AA	MISC	7RN01070-DOC		
NOTES				

SEGMENT: 01 PERFORM INSPECTION (540 7583)
 NOTES:ESC INSPECTION INCLUDES VIBRATION AND MEGGER TESTING. DO NOT INCLUDE LOAD BANK TESTING.

Parts

Qty	Part Number	Description	Ext Price
			Total Parts: 0.00

Labor

Qty	Description	Ext Price
		Total Labor: 0.00

Misc

Qty	Description	Ext Price
1	PERFORM ESC INSPECTION	3,400.00
		Total Misc: 3,400.00

Segment 01 Total: 3,400.00

Total Segments: 3,400.00

TOTAL ESTIMATE (BEFORE TAXES) 3,400.00

NOTES:

- This estimate will expire 30 days from the estimate date.
- Price excludes Freight Charges, Operating Supplies/EPA Fees and Overtime.
- Sales Taxes where applicable are not included with the above prices.
- Ask about Cat Financial Payment options.
- Caterpillar REMAN parts will be utilized, where applicable.
- Above pricing assumes all REMAN cores will meet Caterpillar Full Core Credit criteria. If cores do not meet the Caterpillar Full Core Credit criteria, additional charges will apply.
- Any additional repairs found during disassembly or testing will be quoted at that time.
- If parts needed on emergency order basis, extra order processing fee and expedited shipping cost may apply.



Extended Coverage Quote Confirmation

Quote Number : 1632329178462

Customer Quote

Extended Coverage Quote Provider : Caterpillar

Quote Date : 09/22/2021

Price Expiration Date : 10/22/2021

Quote Status : Draft-NOT ACCEPTED

Customer Information :

ALTORFER
4200 RODGER ST
SPRINGFIELD
ILLINOIS
62703-5351
UNITED STATES

Dealer Information :

ALTORFER
4712 BUCKEYE ST
PO BOX 3007
DAVENPORT
IOWA
52802-2313
UNITED STATES
mknapp@altorfer.com

Product Information :

Model : 3516B
Serial#: 7RN01070
Type : Published
Emissions Control Indicator : NONE
Original Delivery Date : 01/31/2000
Product Status : No Delayed Coverage Start
Current Usage : 600 Hours

Coverage	P/L	Duration	Usage	Deductible	Amount
ADV GENSET PLATINUM	PARTS AND LABOR	60 Months	2500 Hours	0.00	16,240.00

Other:

Administration Fee

Late Fee

Tax

Total Customer Amount

Currency

0.00
USD

Additional Comments :

Notes:

1. This quote is a non-binding price indication.
2. Dealers may refer to Equipment Protection Plan Administrative Manual or Engine Extended ESC coverage for covered component details.
3. Late Fees may apply to Engine Extended Coverage Cost(s) at the time of Enrollment.
4. All deductibles displayed in USD.
5. GST Not Included
6. Caterpillar branded machine product quotes do not include Year 1 – Labor Only coverage within the Year 2 & Beyond coverages (except for limited EPP programs, which include both Year 1 – Labor Only and Year 2 & Beyond coverage)

CITY OF ROCK FALLS
 ELECTRICAL DEPARTMENT 1109 INDUSTRIAL PARK RD
 ROCK FALLS 61071-3161

CUSTOMER NO.	CONTACT	PHONE NO.	FAX NO.	WORK ORDER NO.
385834	JEFF TUPPER	815 622 1145		
QUOTE NO.	OPP NO.	DATE	Email	
120310	137355	9/22/2021		
MAKE	MODEL	SERIAL NO.	UNIT NO.	
AA	MISC	7RN01072-DOC		
NOTES				

SEGMENT: 01 PERFORM INSPECTION (540 7583)
 NOTES:ESC INSPECTION INCLUDES VIBRATION AND MEGGER
 TESTING. DO NOT INCLUDE LOAD BANK TESTING.

Parts

Qty	Part Number	Description	Ext Price
			Total Parts: 0.00

Labor

Qty	Description	Ext Price
		Total Labor: 0.00

Misc

Qty	Description	Ext Price
1	PERFORM ESC INSPECTION	3,400.00
		Total Misc: 3,400.00

Segment 01 Total: 3,400.00

Total Segments: 3,400.00

TOTAL ESTIMATE (BEFORE TAXES) 3,400.00

NOTES:

- This estimate will expire 30 days from the estimate date.
- Price excludes Freight Charges, Operating Supplies/EPA Fees and Overtime.
- Sales Taxes where applicable are not included with the above prices.
- Ask about Cat Financial Payment options.
- Caterpillar REMAN parts will be utilized, where applicable.
- Above pricing assumes all REMAN cores will meet Caterpillar Full Core Credit criteria. If cores do not meet the Caterpillar Full Core Credit criteria, additional charges will apply.
- Any additional repairs found during disassembly or testing will be quoted at that time.
- If parts needed on emergency order basis, extra order processing fee and expedited shipping cost may apply.

ESTIMATED REPAIR TIME: _____ *from start date*
"The Signature is an authorization to proceed with the required repair work as described within the quote".

Issued PO# _____, **Authorized Name** _____ **Please Print.**

Date _____ / _____ / _____ . _____
Signature

Any Questions? Please Call Kathryn Gullette at +13091014380
(fax: - email: kathryn.gullette@altorfer.com).



Extended Coverage Quote Confirmation

Quote Number : 1632329291476

Customer Quote

Extended Coverage Quote Provider : Caterpillar

Quote Date : 09/22/2021

Price Expiration Date : 10/22/2021

Quote Status : Draft-NOT ACCEPTED

Customer Information :

ALTORFER
4200 RODGER ST
SPRINGFIELD
ILLINOIS
62703-5351
UNITED STATES

Dealer Information :

ALTORFER
4712 BUCKEYE ST
PO BOX 3007
DAVENPORT
IOWA
52802-2313
UNITED STATES
mknapp@altorfer.com

Product Information :

Model : 3516B
Serial# : 7RN01072
Type : Published
Emissions Control Indicator : NONE
Original Delivery Date : 01/28/2000
Product Status : No Delayed Coverage Start
Current Usage : 600 Hours

Coverage	P/L	Duration	Usage	Deductible	Amount
ADV GENSET PLATINUM	PARTS AND LABOR	60 Months	2500 Hours	0.00	16,240.00

Other:

Administration Fee

Late Fee

Tax

Total Customer Amount

Currency

0.00

USD

Additional Comments :

Notes:

1. This quote is a non-binding price indication.
2. Dealers may refer to Equipment Protection Plan Administrative Manual or Engine Extended ESC coverage for covered component details.
3. Late Fees may apply to Engine Extended Coverage Cost(s) at the time of Enrollment.
4. All deductibles displayed in USD.
5. GST Not Included
6. Caterpillar branded machine product quotes do not include Year 1 – Labor Only coverage within the Year 2 & Beyond coverages (except for limited EPP programs, which include both Year 1 – Labor Only and Year 2 & Beyond coverage)

CITY OF ROCK FALLS
 ELECTRICAL DEPARTMENT 1109 INDUSTRIAL PARK RD
 ROCK FALLS 61071-3161

CUSTOMER NO.	CONTACT	PHONE NO.	FAX NO.	WORK ORDER NO.
385834	JEFF TUPPER	815 622 1145		
QUOTE NO.	OPP NO.	DATE	Email	
120312	137357	9/22/2021		
MAKE	MODEL	SERIAL NO.	UNIT NO.	
AA	MISC	7RN01767-DOC		
NOTES				

SEGMENT: 01 PERFORM INSPECTION (540 7583)
 NOTES:ESC INSPECTION INCLUDES VIBRATION AND MEGGER TESTING. DO NOT INCLUDE LOAD BANK TESTING.

Parts

Qty	Part Number	Description	Ext Price
			Total Parts: 0.00

Labor

Qty	Description	Ext Price
		Total Labor: 0.00

Misc

Qty	Description	Ext Price
1	PERFORM ESC INSPECTION	3,400.00
		Total Misc: 3,400.00

Segment 01 Total: 3,400.00

Total Segments: 3,400.00

TOTAL ESTIMATE (BEFORE TAXES) 3,400.00

NOTES:

- This estimate will expire 30 days from the estimate date.
- Price excludes Freight Charges, Operating Supplies/EPA Fees and Overtime.
- Sales Taxes where applicable are not included with the above prices.
- Ask about Cat Financial Payment options.
- Caterpillar REMAN parts will be utilized, where applicable.
- Above pricing assumes all REMAN cores will meet Caterpillar Full Core Credit criteria. If cores do not meet the Caterpillar Full Core Credit criteria, additional charges will apply.
- Any additional repairs found during disassembly or testing will be quoted at that time.
- If parts needed on emergency order basis, extra order processing fee and expedited shipping cost may apply.

ESTIMATED REPAIR TIME: _____ *from start date*
"The Signature is an authorization to proceed with the required repair work as described within the quote".

Issued PO# _____, **Authorized Name** _____ **Please Print.**

Date _____ / _____ / _____.

Signature

Any Questions? Please Call Kathryn Gullette at +13091014380
(fax: - email: kathryn.gullette@altorfer.com).



Extended Coverage Quote Confirmation

Quote Number : 1632329425520

Customer Quote

Extended Coverage Quote Provider : Caterpillar

Quote Date : 09/22/2021

Price Expiration Date : 10/22/2021

Quote Status : Draft-NOT ACCEPTED

Customer Information :

IL MUNICIPAL ELECTRIC AGENCY
3400 CONIFER DR
SPRINGFIELD
ILLINOIS
62711
UNITED STATES

Dealer Information :

ALTORFER
4712 BUCKEYE ST
PO BOX 3007
DAVENPORT
IOWA
52802-2313
UNITED STATES
mknapp@altorfer.com

Product Information :

Model : 3516B
Serial# : 7RN01767
Type : Published
Emissions Control Indicator : NONE
Original Delivery Date : 08/03/2001
Product Status : No Delayed Coverage Start
Current Usage : 600 Hours

Coverage	P/L	Duration	Usage	Deductible	Amount
ADV GENSET PLATINUM	PARTS AND LABOR	60 Months	2500 Hours	0.00	16,240.00

Other:

Administration Fee

Late Fee

Tax

Total Customer Amount

Currency

0.00

USD

Additional Comments :

Notes:

1. This quote is a non-binding price indication.
2. Dealers may refer to Equipment Protection Plan Administrative Manual or Engine Extended ESC coverage for covered component details.
3. Late Fees may apply to Engine Extended Coverage Cost(s) at the time of Enrollment.
4. All deductibles displayed in USD.
5. GST Not Included
6. Caterpillar branded machine product quotes do not include Year 1 – Labor Only coverage within the Year 2 & Beyond coverages (except for limited EPP programs, which include both Year 1 – Labor Only and Year 2 & Beyond coverage)

CITY OF ROCK FALLS
 ELECTRICAL DEPARTMENT 1109 INDUSTRIAL PARK RD
 ROCK FALLS 61071-3161

CUSTOMER NO.	CONTACT	PHONE NO.	FAX NO.	WORK ORDER NO.
385834	JEFF TUPPER	815 622 1145		
QUOTE NO.	OPP NO.	DATE	Email	
120313	137358	9/22/2021		
MAKE	MODEL	SERIAL NO.	UNIT NO.	
AA	MISC	7RN01768-DOC		
NOTES				

SEGMENT: 01 PERFORM INSPECTION (540 7583)
 NOTES:ESC INSPECTION INCLUDES VIBRATION AND MEGGER TESTING. DO NOT INCLUDE LOAD BANK TESTING.

Parts

Qty	Part Number	Description	Ext Price
			Total Parts: 0.00

Labor

Qty	Description	Ext Price
		Total Labor: 0.00

Misc

Qty	Description	Ext Price
1	PERFORM ESC INSPECTION	3,400.00
		Total Misc: 3,400.00

Segment 01 Total: 3,400.00

Total Segments: 3,400.00

TOTAL ESTIMATE (BEFORE TAXES) 3,400.00

NOTES:

- This estimate will expire 30 days from the estimate date.
- Price excludes Freight Charges, Operating Supplies/EPA Fees and Overtime.
- Sales Taxes where applicable are not included with the above prices.
- Ask about Cat Financial Payment options.
- Caterpillar REMAN parts will be utilized, where applicable.
- Above pricing assumes all REMAN cores will meet Caterpillar Full Core Credit criteria. If cores do not meet the Caterpillar Full Core Credit criteria, additional charges will apply.
- Any additional repairs found during disassembly or testing will be quoted at that time.
- If parts needed on emergency order basis, extra order processing fee and expedited shipping cost may apply.

ESTIMATED REPAIR TIME: _____ *from start date*
"The Signature is an authorization to proceed with the required repair work as described within the quote".

Issued PO# _____, **Authorized Name** _____ **Please Print.**

Date _____ / _____ / _____.

Signature

Any Questions? Please Call Kathryn Gullette at +13091014380
(fax: - email: kathryn.gullette@altorfer.com).



Extended Coverage Quote Confirmation

Quote Number : 1632329542210

Customer Quote

Extended Coverage Quote Provider : Caterpillar

Quote Date : 09/22/2021

Price Expiration Date : 10/22/2021

Quote Status : Draft-NOT ACCEPTED

Customer Information :

IL MUNICIPAL ELECTRIC AGENCY
3400 CONFER DR
SPRINGFIELD
ILLINOIS
62711
UNITED STATES

Dealer Information :

ALTORFER
4712 BUCKEYE ST
PO BOX 3007
DAVENPORT
IOWA
52802-2313
UNITED STATES
mknapp@altorfer.com

Product Information :

Model : 3516B
Serial# : 7RN01768
Type : Published
Emissions Control Indicator : NONE
Original Delivery Date : 08/03/2001
Product Status : No Delayed Coverage Start
Current Usage : 600 Hours

Coverage	P/L	Duration	Usage	Deductible	Amount
ADV GENSET PLATINUM	PARTS AND LABOR	60 Months	2500 Hours	0.00	16,240.00

Other:

Administration Fee

Late Fee

Tax

Total Customer Amount

Currency

0.00

USD

Additional Comments :

Notes:

1. This quote is a non-binding price indication.
2. Dealers may refer to Equipment Protection Plan Administrative Manual or Engine Extended ESC coverage for covered component details.
3. Late Fees may apply to Engine Extended Coverage Cost(s) at the time of Enrollment.
4. All deductibles displayed in USD.
5. GST Not Included
6. Caterpillar branded machine product quotes do not include Year 1 – Labor Only coverage within the Year 2 & Beyond coverages (except for limited EPP programs, which include both Year 1 – Labor Only and Year 2 & Beyond coverage)

ALTORFER

Proposal No: 120314 - 1

CITY OF ROCK FALLS
 ELECTRICAL DEPARTMENT 1109 INDUSTRIAL PARK RD
 ROCK FALLS 61071-3161

CUSTOMER NO.	CONTACT	PHONE NO.	FAX NO.	WORK ORDER NO.
385834	JEFF TUPPER	815 622 1145		
QUOTE NO.	OPP NO.	DATE	Email	
120314	137359	9/22/2021		
MAKE	MODEL	SERIAL NO.	UNIT NO.	
AA	MISC	7RN01777-DOC		
NOTES				

SEGMENT: 01 PERFORM INSPECTION (540 7583)
 NOTES:

Parts

Qty	Part Number	Description	Ext Price
			Total Parts: 0.00

Labor

Qty	Description	Ext Price
		Total Labor: 0.00

Misc

Qty	Description	Ext Price
1	PERFORM ESC INSPECTION	3,400.00
		Total Misc: 3,400.00

Segment 01 Total: 3,400.00**Total Segments:** 3,400.00**TOTAL ESTIMATE (BEFORE TAXES)**

3,400.00

NOTES:

- This estimate will expire 30 days from the estimate date.
- Price excludes Freight Charges, Operating Supplies/EPA Fees and Overtime.
- Sales Taxes where applicable are not included with the above prices.
- Ask about Cat Financial Payment options.
- Caterpillar REMAN parts will be utilized, where applicable.
- Above pricing assumes all REMAN cores will meet Caterpillar Full Core Credit criteria. If cores do not meet the Caterpillar Full Core Credit criteria, additional charges will apply.
- Any additional repairs found during disassembly or testing will be quoted at that time.
- If parts needed on emergency order basis, extra order processing fee and expedited shipping cost may apply.

ESTIMATED REPAIR TIME: _____ **from start date**
"The Signature is an authorization to proceed with the required repair work as described within the quote".

Issued PO# _____, **Authorized Name** _____ **Please Print.**

Date _____ / _____ / _____ . _____
Signature

Any Questions? Please Call Kathryn Gullette at +13091014380
(fax: - email: kathryn.gullette@altorfer.com).



Extended Coverage Quote Confirmation

Quote Number : 1632329731283

Customer Quote

Extended Coverage Quote Provider : Caterpillar

Quote Date : 09/22/2021

Price Expiration Date : 10/22/2021

Quote Status : Draft-NOT ACCEPTED

Customer Information :

IL MUNICIPAL ELECTRIC AGENCY
3400 CONIFER DR
SPRINGFIELD
ILLINOIS
62711
UNITED STATES

Dealer Information :

ALTORFER
4712 BUCKEYE ST
PO BOX 3007
DAVENPORT
IOWA
52802-2313
UNITED STATES
mknapp@altorfer.com

Product Information :

Model : 3516B
Serial# : 7RN01777
Type : Published
Emissions Control Indicator : NONE
Original Delivery Date : 08/03/2001
Product Status : No Delayed Coverage Start
Current Usage : 600 Hours

Coverage	P/L	Duration	Usage	Deductible	Amount
ADV GENSET PLATINUM	PARTS AND LABOR	60 Months	2500 Hours	0.00	16,240.00

Other:
Administration Fee
Late Fee
Tax
Total Customer Amount 0.00
Currency USD

Additional Comments :

Notes:

1. This quote is a non-binding price indication.
2. Dealers may refer to Equipment Protection Plan Administrative Manual or Engine Extended ESC coverage for covered component details.
3. Late Fees may apply to Engine Extended Coverage Cost(s) at the time of Enrollment.
4. All deductibles displayed in USD.
5. GST Not Included
6. Caterpillar branded machine product quotes do not include Year 1 – Labor Only coverage within the Year 2 & Beyond coverages (except for limited EPP programs, which include both Year 1 – Labor Only and Year 2 & Beyond coverage)

CITY OF ROCK FALLS
 ELECTRICAL DEPARTMENT 1109 INDUSTRIAL PARK RD
 ROCK FALLS 61071-3161

CUSTOMER NO.	CONTACT	PHONE NO.	FAX NO.	WORK ORDER NO.
385834	KEVIN WAGNER	217 789 4632		
QUOTE NO.	OPP NO.	DATE	Email	
120316	137361	9/23/2021	kwagner@imea.org	
MAKE	MODEL	SERIAL NO.	UNIT NO.	
AA	3516	0FDN00732		
NOTES				

SEGMENT: 01 PERFORM INSPECTION (540 7583)
 NOTES:ESC INSPECTION INCLUDES VIBRATION AND MEGGER TESTING. DO NOT INCLUDE LOAD BANK TESTING.

Parts

Qty	Part Number	Description	Ext Price
			Total Parts: 0.00

Labor

Qty	Description	Ext Price
		Total Labor: 0.00

Misc

Qty	Description	Ext Price
1	ESC INSPECTION	3,400.00
		Total Misc: 3,400.00

Segment 01 Total: 3,400.00

Total Segments: 3,400.00

TOTAL ESTIMATE (BEFORE TAXES) 3,400.00

NOTES:

- This estimate will expire 30 days from the estimate date.
- Price excludes Freight Charges, Operating Supplies/EPA Fees and Overtime.
- Sales Taxes where applicable are not included with the above prices.
- Ask about Cat Financial Payment options.
- Caterpillar REMAN parts will be utilized, where applicable.
- Above pricing assumes all REMAN cores will meet Caterpillar Full Core Credit criteria. If cores do not meet the Caterpillar Full Core Credit criteria, additional charges will apply.
- Any additional repairs found during disassembly or testing will be quoted at that time.
- If parts needed on emergency order basis, extra order processing fee and expedited shipping cost may apply.

ESTIMATED REPAIR TIME: _____ *from start date*
"The Signature is an authorization to proceed with the required repair work as described within the quote".

Issued PO# _____, **Authorized Name** _____ **Please Print.**

Date _____ / _____ / _____.

Signature

Any Questions? Please Call Kathryn Gullette at +13091014380
(fax: - email: kathryn.gullette@altorfer.com).



Extended Coverage Quote Confirmation

Quote Number : 1632330018854

Customer Quote

Extended Coverage Quote Provider : Caterpillar

Quote Date : 09/22/2021

Price Expiration Date : 10/22/2021

Quote Status : Draft-NOT ACCEPTED

Customer Information :

IL MUNICIPAL ELECTRIC AGENCY
3400 CONIFER DR
SPRINGFIELD
ILLINOIS
62711
UNITED STATES

Dealer Information :

ALTORFER
4712 BUCKEYE ST
PO BOX 3007
DAVENPORT
IOWA
52802-2313
UNITED STATES
mknapp@altorfer.com

Product Information :

Model : 3516B
Serial# : FDN00732
Type : Published
Emissions Control Indicator : NONE
Original Delivery Date : 05/09/2002
Product Status : No Delayed Coverage Start
Current Usage : 600 Hours

Coverage	P/L	Duration	Usage	Deductible	Amount
ADV GENSET PLATINUM	PARTS AND LABOR	60 Months	2500 Hours	0.00	16,240.00

Other:

Administration Fee

Late Fee

Tax

Total Customer Amount

0.00

Currency

USD

Additional Comments :

Notes:

1. This quote is a non-binding price indication.
2. Dealers may refer to Equipment Protection Plan Administrative Manual or Engine Extended ESC coverage for covered component details.
3. Late Fees may apply to Engine Extended Coverage Cost(s) at the time of Enrollment.
4. All deductibles displayed in USD.
5. GST Not Included
6. Caterpillar branded machine product quotes do not include Year 1 – Labor Only coverage within the Year 2 & Beyond coverages (except for limited EPP programs, which include both Year 1 – Labor Only and Year 2 & Beyond coverage)

CITY OF ROCK FALLS
 ELECTRICAL DEPARTMENT 1109 INDUSTRIAL PARK RD
 ROCK FALLS 61071-3161

CUSTOMER NO.	CONTACT	PHONE NO.	FAX NO.	WORK ORDER NO.
385834	KEVIN WAGNER	217 789 4632		
QUOTE NO.	OPP NO.	DATE	Email	
120317	137363	9/23/2021	kwagner@imea.org	
MAKE	MODEL	SERIAL NO.	UNIT NO.	
AA	3516	0FDN00702		
NOTES				

SEGMENT: 01 PERFORM INSPECTION (540 7583)
 NOTES:ESC INSPECTION INCLUDES VIBRATION AND MEGGER TESTING. DO NOT INCLUDE LOAD BANK TESTING.

Parts

Qty	Part Number	Description	Ext Price
-----	-------------	-------------	-----------

Total Parts: 0.00

Labor

Qty	Description	Ext Price
-----	-------------	-----------

Total Labor: 0.00

Misc

Qty	Description	Ext Price
1	PERFORMED ESC INSPECTION	3,400.00

Total Misc: 3,400.00

Segment 01 Total: 3,400.00

Total Segments: 3,400.00

TOTAL ESTIMATE (BEFORE TAXES) 3,400.00

NOTES:

- This estimate will expire 30 days from the estimate date.
- Price excludes Freight Charges, Operating Supplies/EPA Fees and Overtime.
- Sales Taxes where applicable are not included with the above prices.
- Ask about Cat Financial Payment options.
- Caterpillar REMAN parts will be utilized, where applicable.
- Above pricing assumes all REMAN cores will meet Caterpillar Full Core Credit criteria. If cores do not meet the Caterpillar Full Core Credit criteria, additional charges will apply.
- Any additional repairs found during disassembly or testing will be quoted at that time.
- If parts needed on emergency order basis, extra order processing fee and expedited shipping cost may apply.

ESTIMATED REPAIR TIME: _____ *from start date*
"The Signature is an authorization to proceed with the required repair work as described within the quote".

Issued PO# _____, **Authorized Name** _____ **Please Print.**

Date _____ / _____ / _____.

Signature

Any Questions? Please Call Kathryn Gullette at +13091014380
(fax: - email: kathryn.gullette@altorfer.com).



Extended Coverage Quote Confirmation

Quote Number : 1632403600689

Customer Quote

Extended Coverage Quote Provider : Caterpillar

Quote Date : 09/23/2021

Price Expiration Date : 10/23/2021

Quote Status : Draft-NOT ACCEPTED

Customer Information :

IL MUNICIPAL ELECTRIC AGENCY
3400 CONIFER DR
SPRINGFIELD
ILLINOIS
62711
UNITED STATES

Dealer information :

ALTORFER
4712 BUCKEYE ST
PO BOX 3007
DAVENPORT
IOWA
52802-2313
UNITED STATES
mknapp@altorfer.com

Product Information :

Model : 3516B
Serial# : FDN00702
Type : Published
Emissions Control Indicator : NONE
Original Delivery Date : 05/09/2002
Product Status : No Delayed Coverage Start
Current Usage : 600 Hours

Coverage	P/L	Duration	Usage	Deductible	Amount
ADV GENSET PLATINUM	PARTS AND LABOR	60 Months	2500 Hours	0.00	16,240.00

Other:

Administration Fee

Late Fee

Tax

Total Customer Amount

Currency

0.00

USD

Additional Comments :

Notes:

1. This quote is a non-binding price indication.
2. Dealers may refer to Equipment Protection Plan Administrative Manual or Engine Extended ESC coverage for covered component details.
3. Late Fees may apply to Engine Extended Coverage Cost(s) at the time of Enrollment.
4. All deductibles displayed in USD.
5. GST Not Included
6. Caterpillar branded machine product quotes do not include Year 1 – Labor Only coverage within the Year 2 & Beyond coverages (except for limited EPP programs, which include both Year 1 – Labor Only and Year 2 & Beyond coverage)